

# Towards practical neural image compression: SlimCAE and DANICE

Fei Yang, Luis Herranz

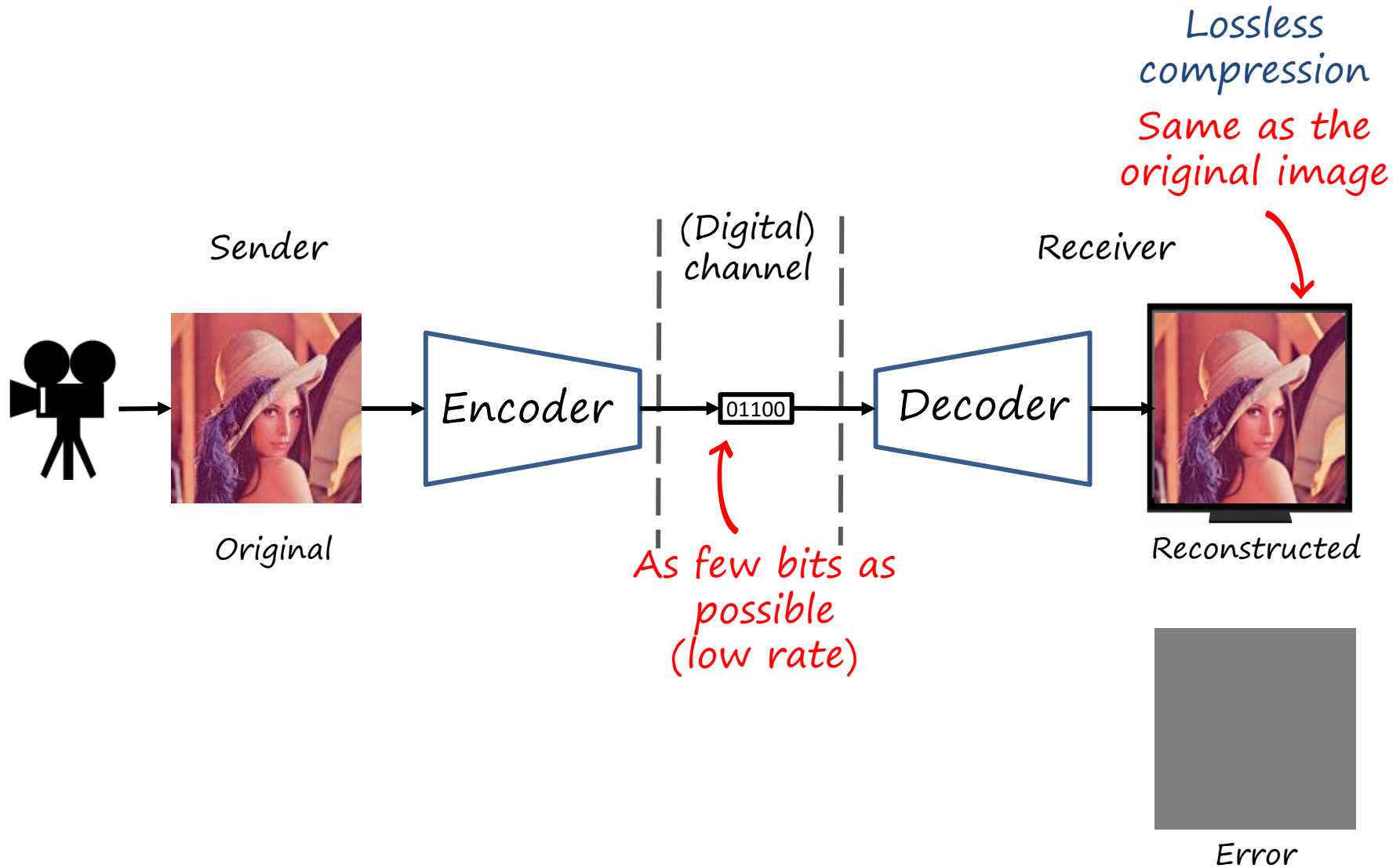
CVPR 2021/CLIC2021



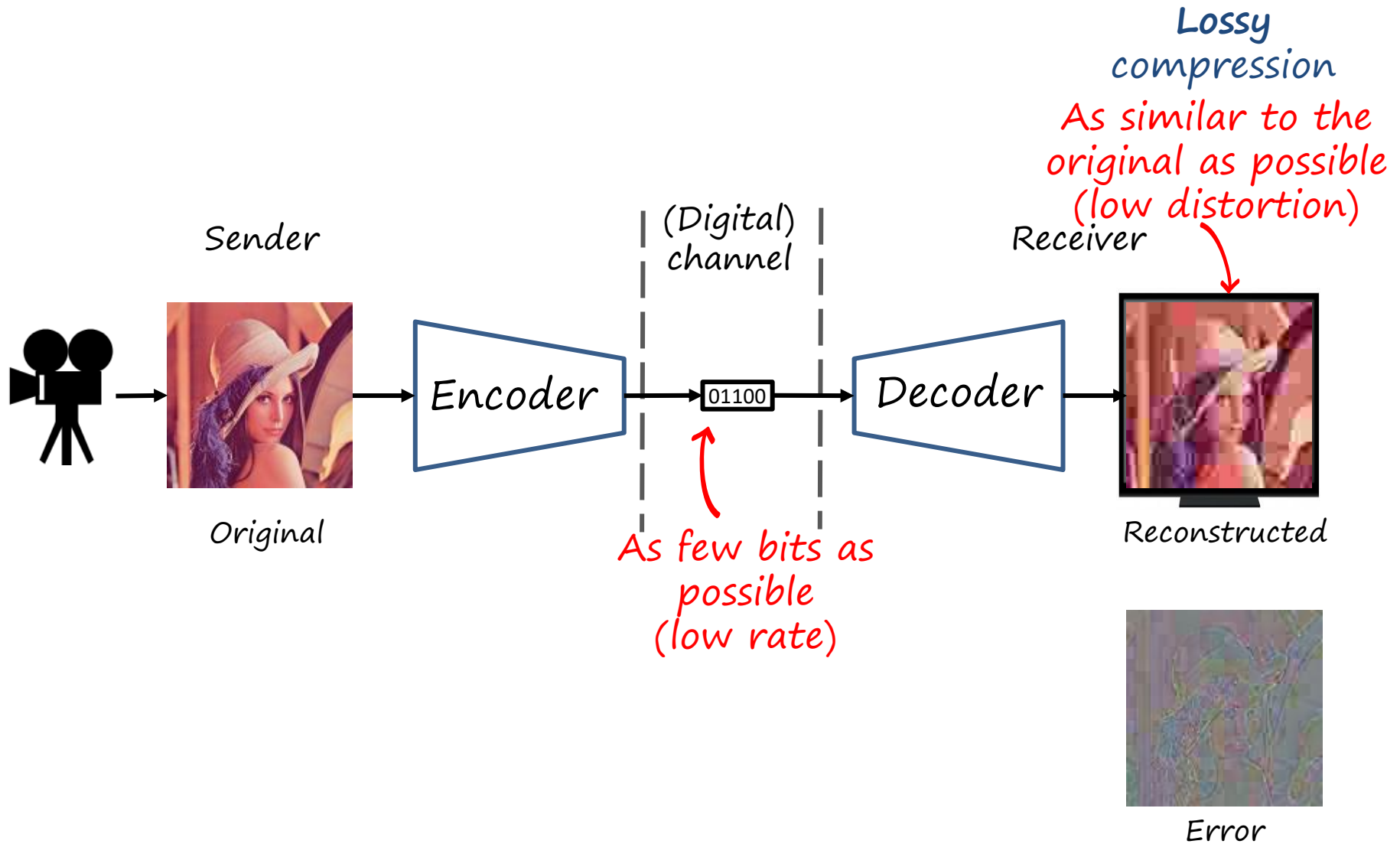
# Outline

- Introduction and motivation
- SlimCAE (CVPR 2021)
- DANICE (CLIC workshop at CVPR 2021)

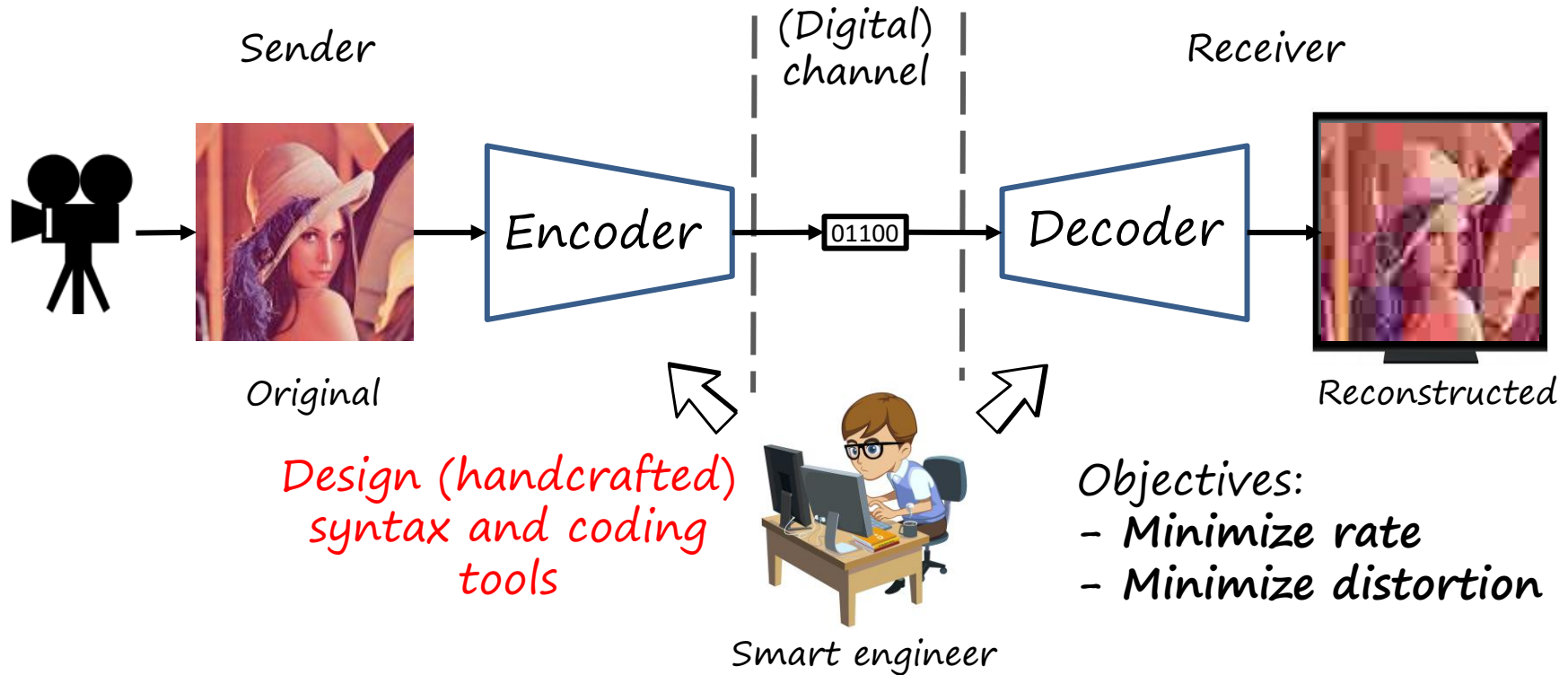
# The visual communication problem



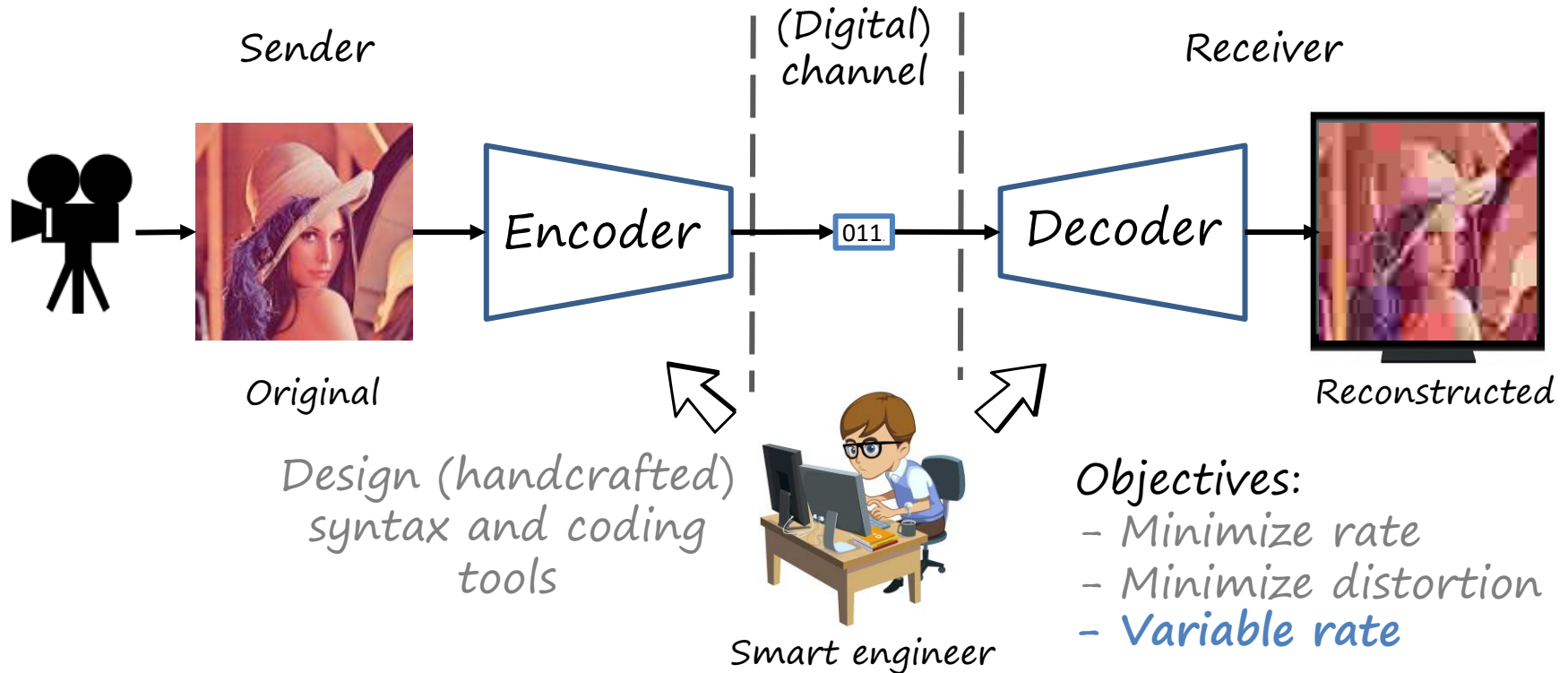
# The visual communication problem



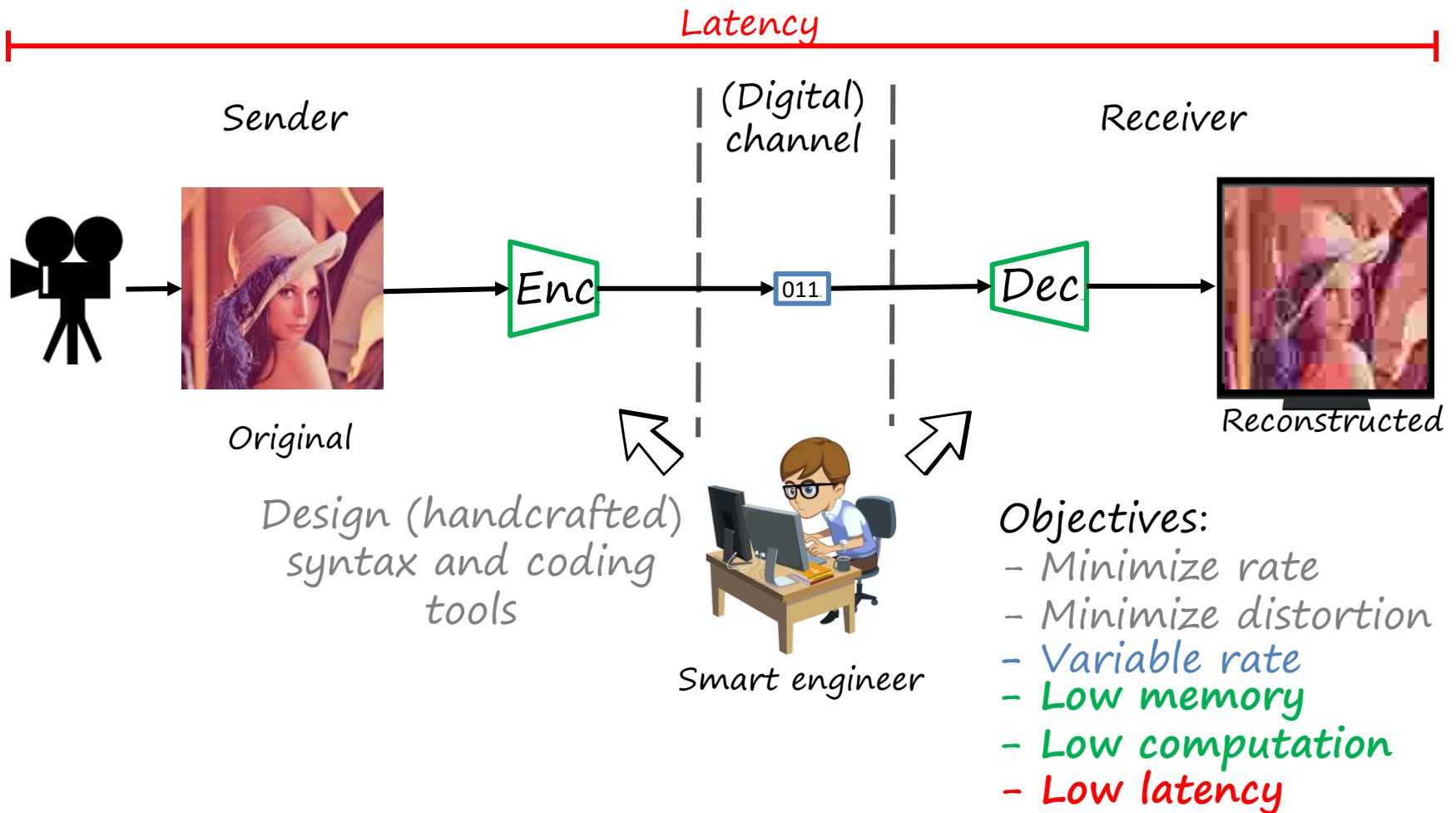
# Developing traditional image/video codecs



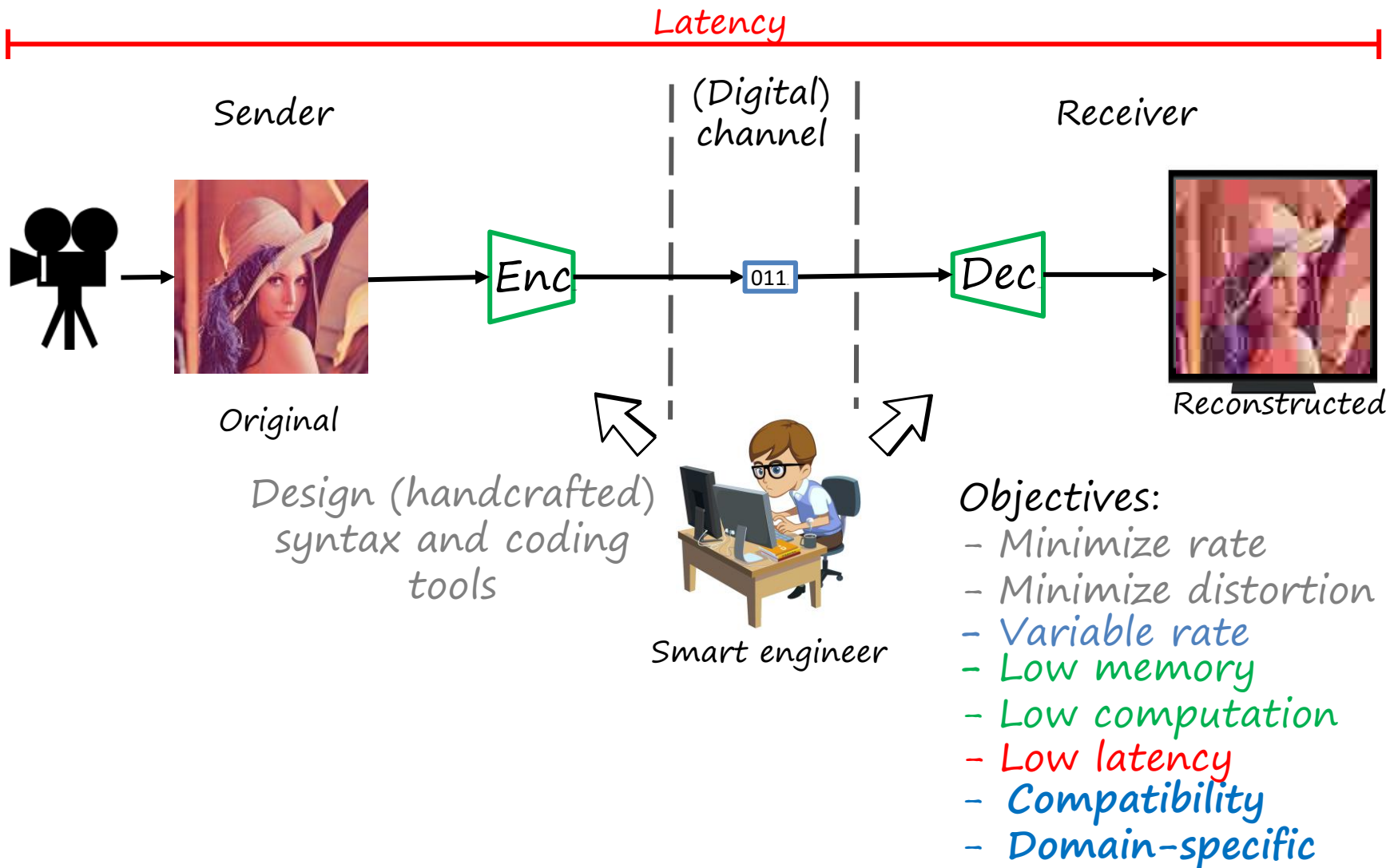
# ... for practical applications



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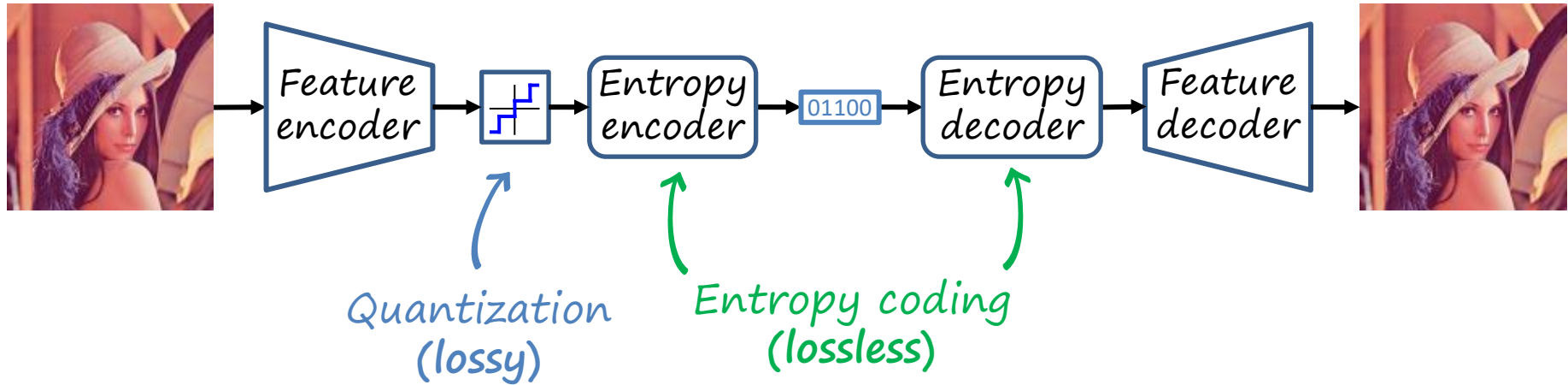


# ... for practical applications

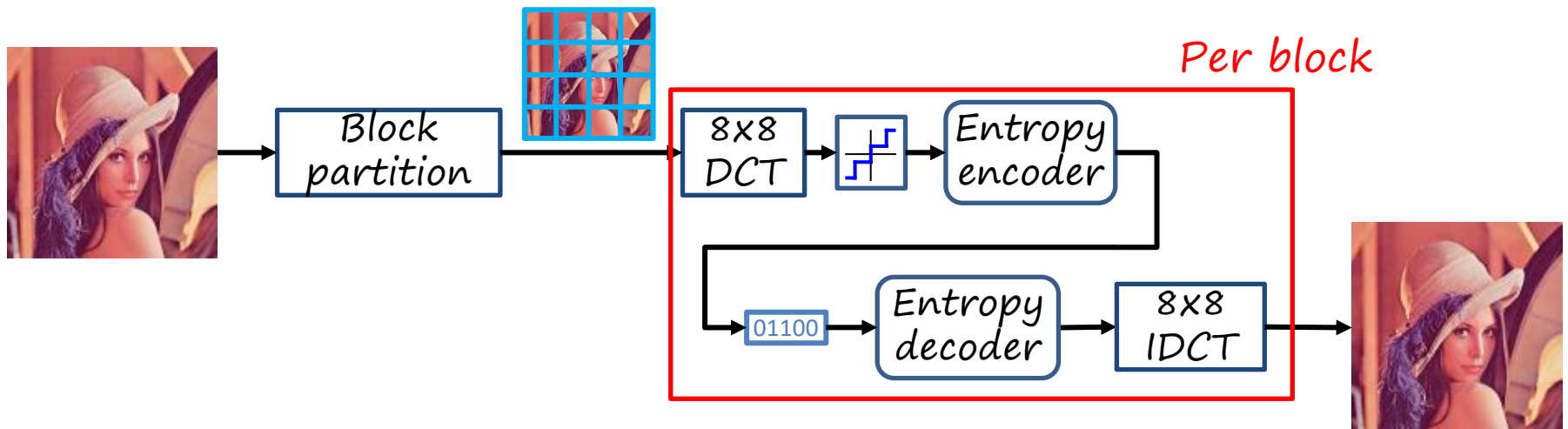




# Basic pipeline

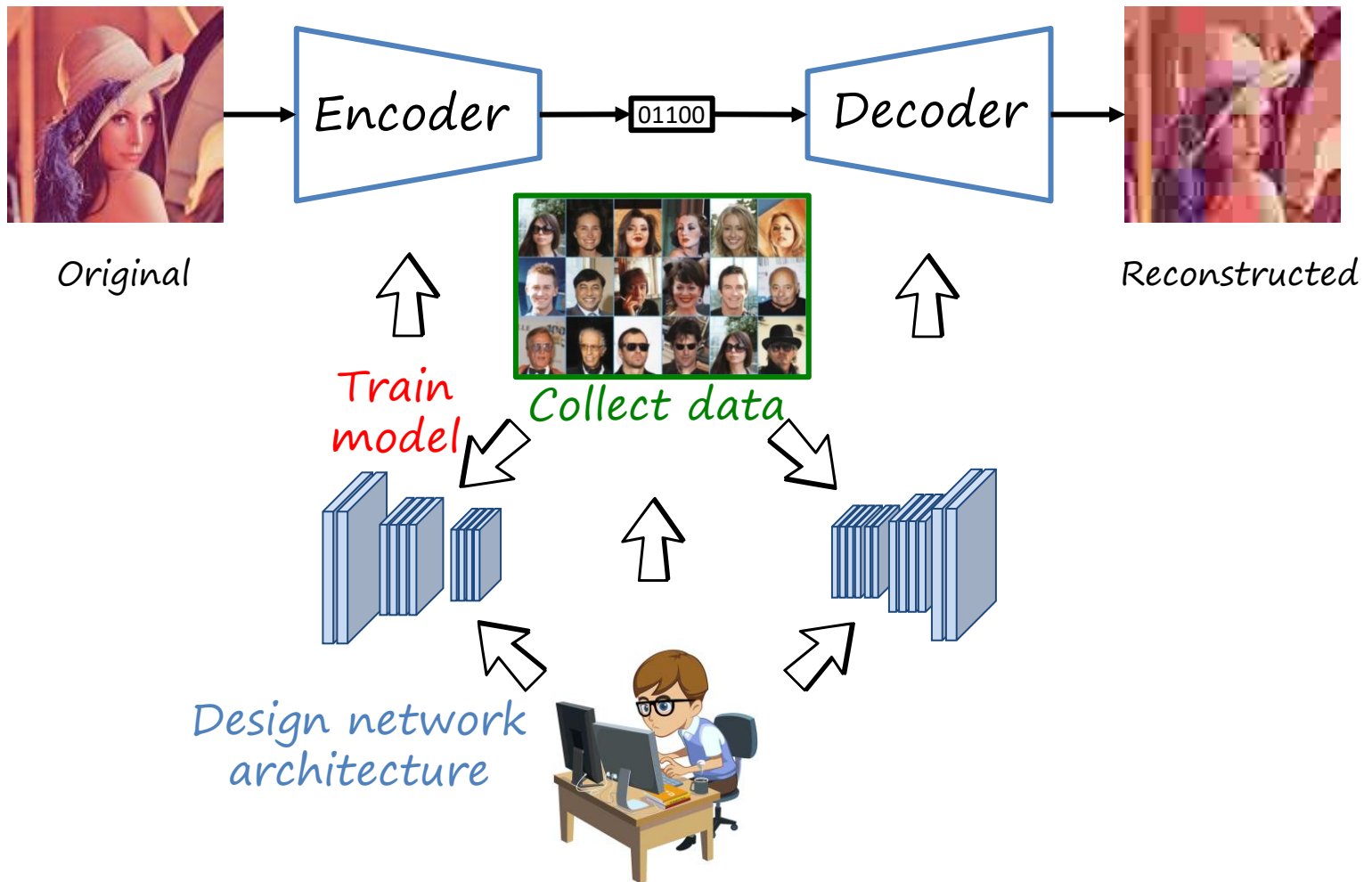


Example: block-based transform coding (e.g. JPEG, MPEG-4)



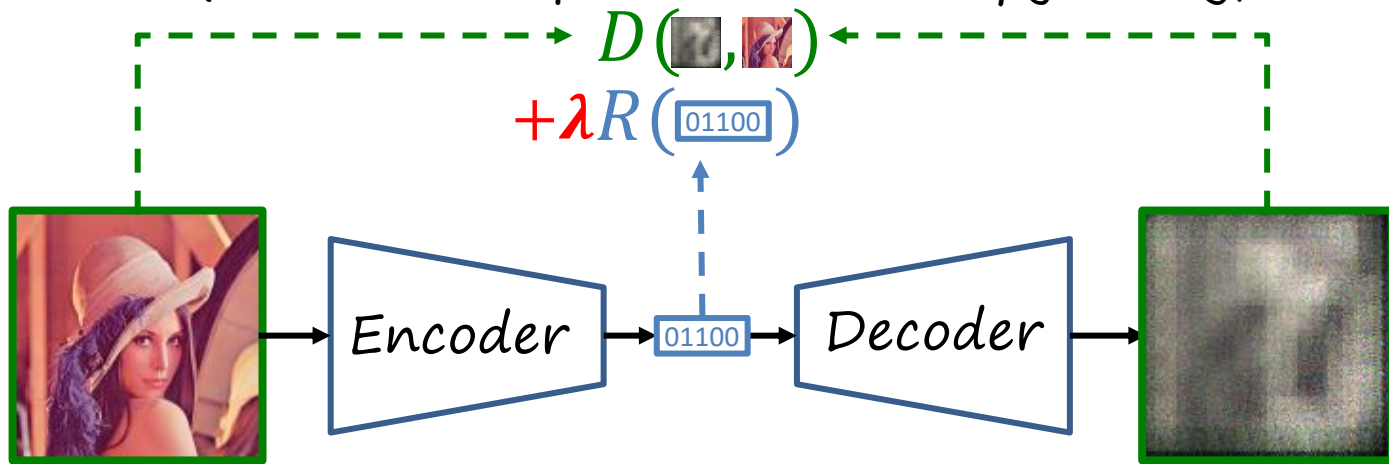
# Neural image/video codecs

- Coding tools and syntax are **parametric** and **learned**
- Encoders/decoders and probability models are **deep neural networks**



# Neural image compression

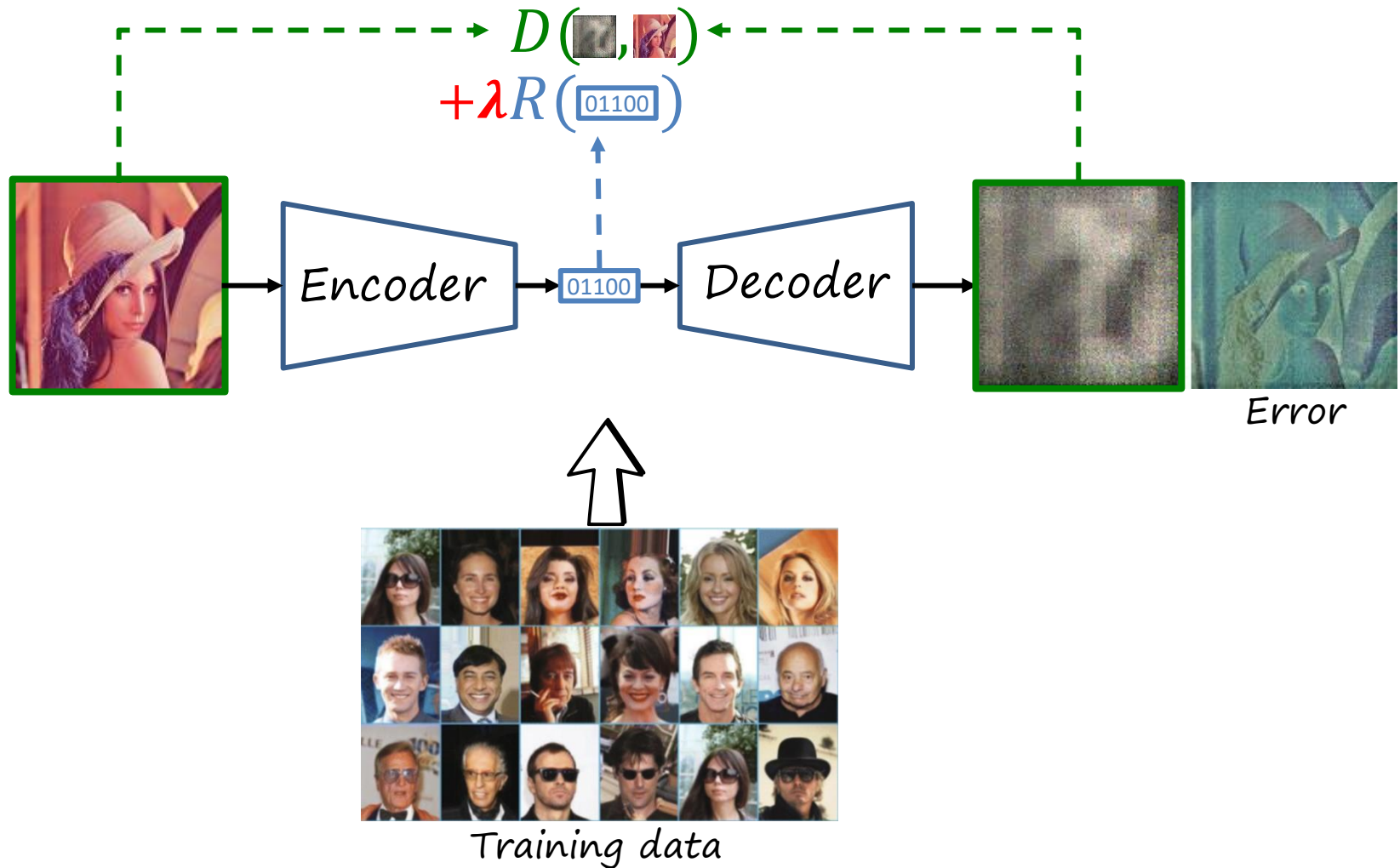
Compressive autoencoder (CAE) [Theis2017, Balle2017]  
(autoencoder+quantization+entropy coding)



Optimize a weighted rate-distortion loss ( $\lambda$  controls the tradeoff)

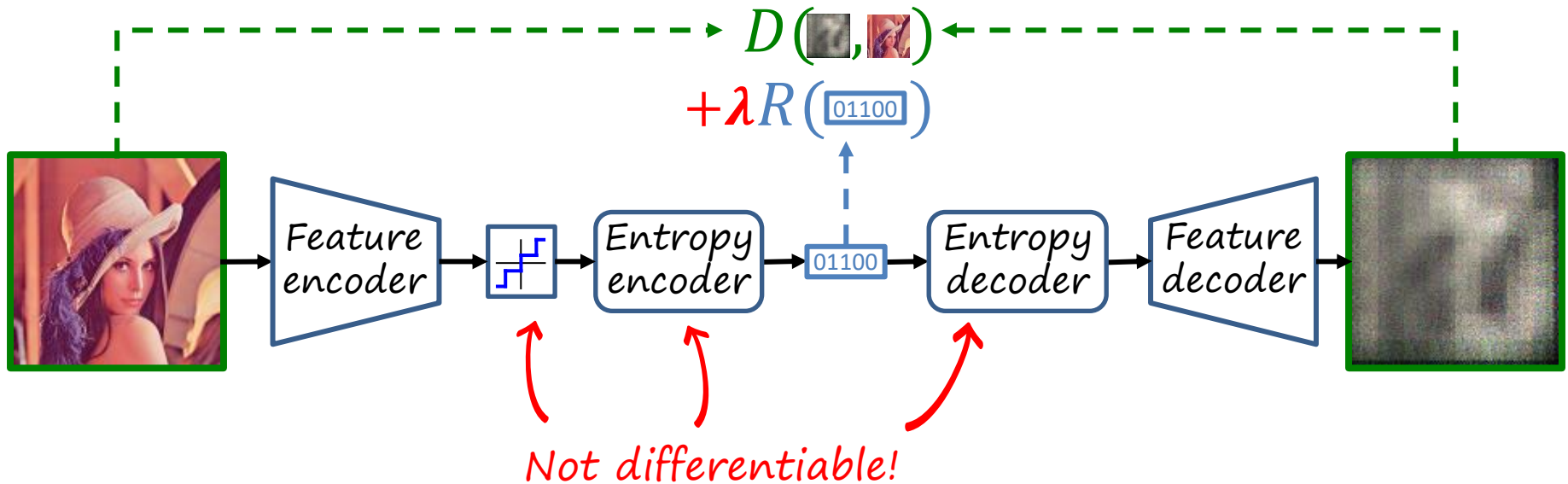
# Neural image compression

Training



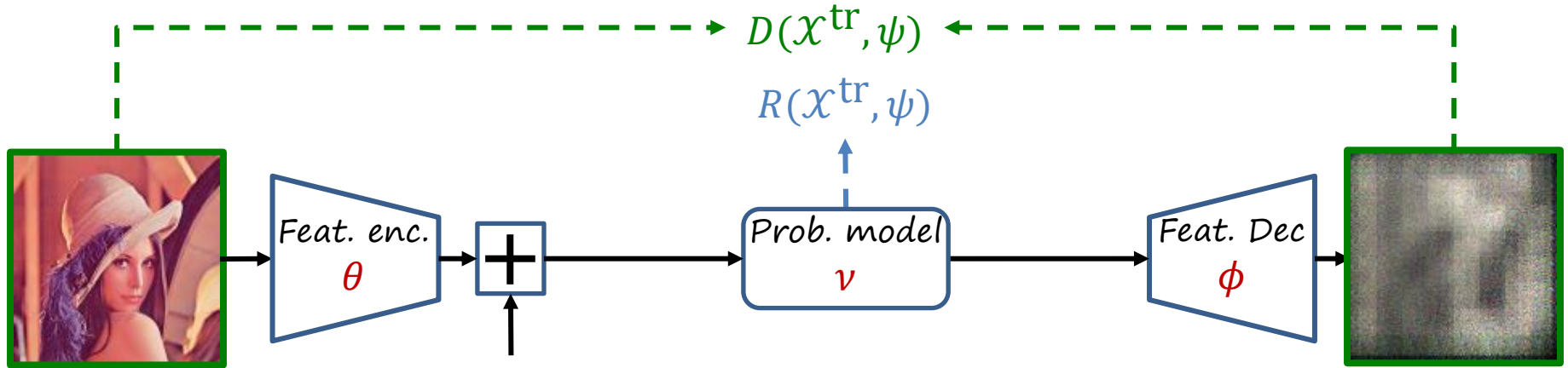
# Architecture

Compressive autoencoder (CAE) [Theis2017, Balle2017]  
(autoencoder+quantization+entropy coding)



# Architecture (training)

Use differentiable proxies for end-to-end training



$$n \sim U\left(-\frac{1}{2}, \frac{1}{2}\right)$$

Model parameters

$$\psi = (\theta, \phi, \nu)$$

Loss

$$J(\mathcal{X}^{\text{tr}}, \psi; \lambda) = R(\mathcal{X}^{\text{tr}}, \psi) + \lambda D(\mathcal{X}^{\text{tr}}, \psi)$$

Optimization problem

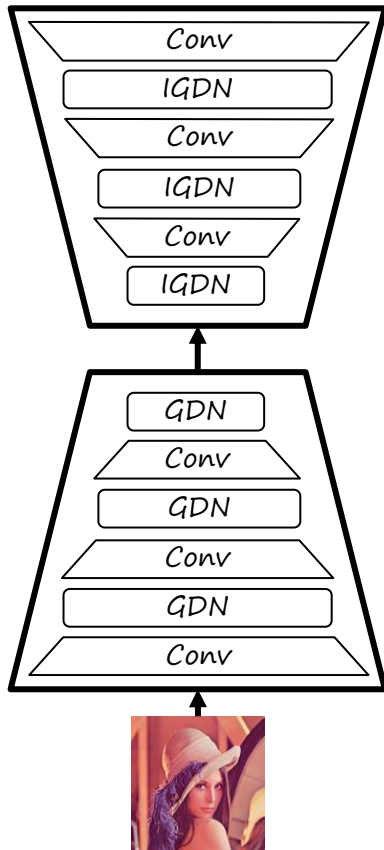
$$\psi^* = \min_{\psi} J(\mathcal{X}^{\text{tr}}, \psi; \lambda)$$



Training data  $\mathcal{X}^{\text{tr}}$

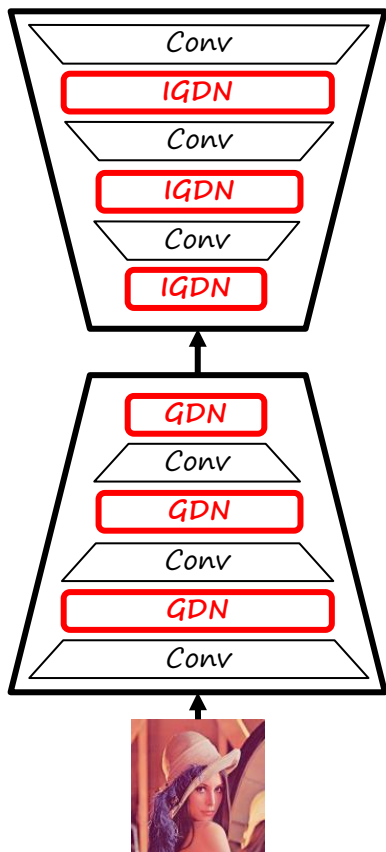
# Autoencoder architecture

Balle et al.  
[ICLR2017]



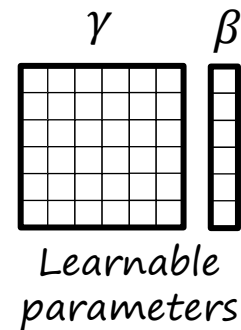
# Autoencoder architecture

Balle et al.  
[ICLR2017]



Generalized divisive normalization (GDN) [Balle2016]

$$\hat{y}_i = \frac{y_i}{(\beta_i + \sum_j \gamma_{ij} y_j^2)^{1/2}}$$





# Rate-distortion tradeoff $\lambda$

Each RD point is a different independent model ( $\lambda$  is fixed)

Input

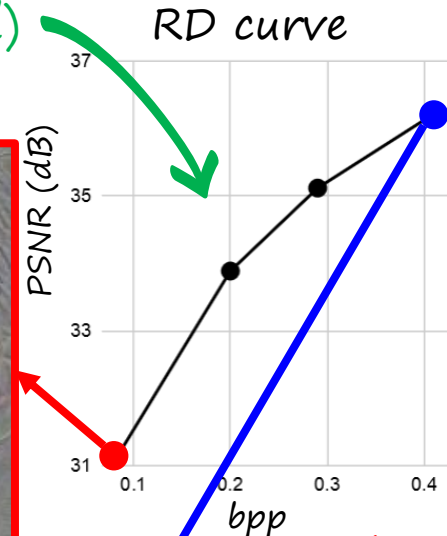
Decoded

Error

Low rate  
( $\lambda=0.002$ )



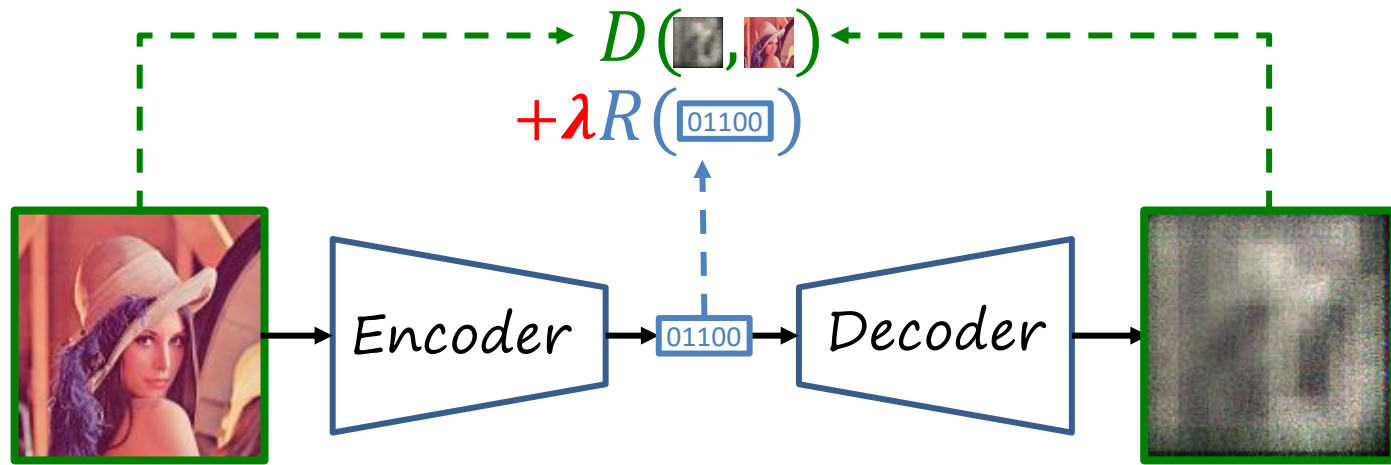
High rate  
( $\lambda=0.032$ )



PSNR= 31.1 dB  
Rate= 0.08 bpp

PSNR= 36.2 dB  
Rate= 0.41 bpp

# Is neural image compression practical?



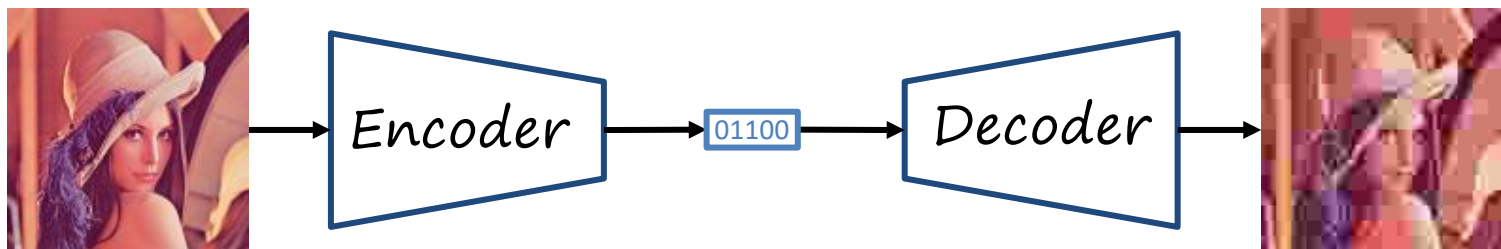
## Limitations

- $\lambda$  is fixed
- Heavy encoders/decoders

## Practical neural image compression?

- Minimize rate ✓
- Minimize distortion ✓
- Variable rate ✗
- Low memory ✗
- Low computation ✗
- Low latency ✗

# Towards practical neural image compression



## Main objectives

- Minimize rate
- Minimize distortion

## Practical objectives

- Variable rate
- Low memory
- Low computation
- Low latency

MAE  
[SPL2020]

SlimCAE  
[CVPR2021]

## Other practical considerations

- Domain-specific codecs  
(e.g. videoconference, screencast)
- Backward/forward compatibility  
(with legacy formats and encoders/decoders)

DANICE  
[CLIC2021]

[SPL2020] [Variable Rate Deep Image Compression with Modulated Autoencoder](#), Signal Processing Letters 2020

[CVPR2021] [Slimmable compressive autoencoders for practical image compression](#), CVPR 2021

[CLIC2021] [DANICE: Domain adaptation without forgetting in neural image compression](#), CLIC 2021 at CVPR 2021

# Slimmable Compressive Autoencoders for Practical Neural Image Compression

Fei Yang<sup>1,2,3</sup>, Luis Herranz<sup>1,2</sup>, Yongmei Cheng<sup>3</sup>, Mikhail Mozerov<sup>1,2</sup>

<sup>1</sup>Computer Vision Center

<sup>2</sup>Universitat Autònoma de Barcelona

<sup>3</sup>Northwestern Polytechnical University

CVPR 2021

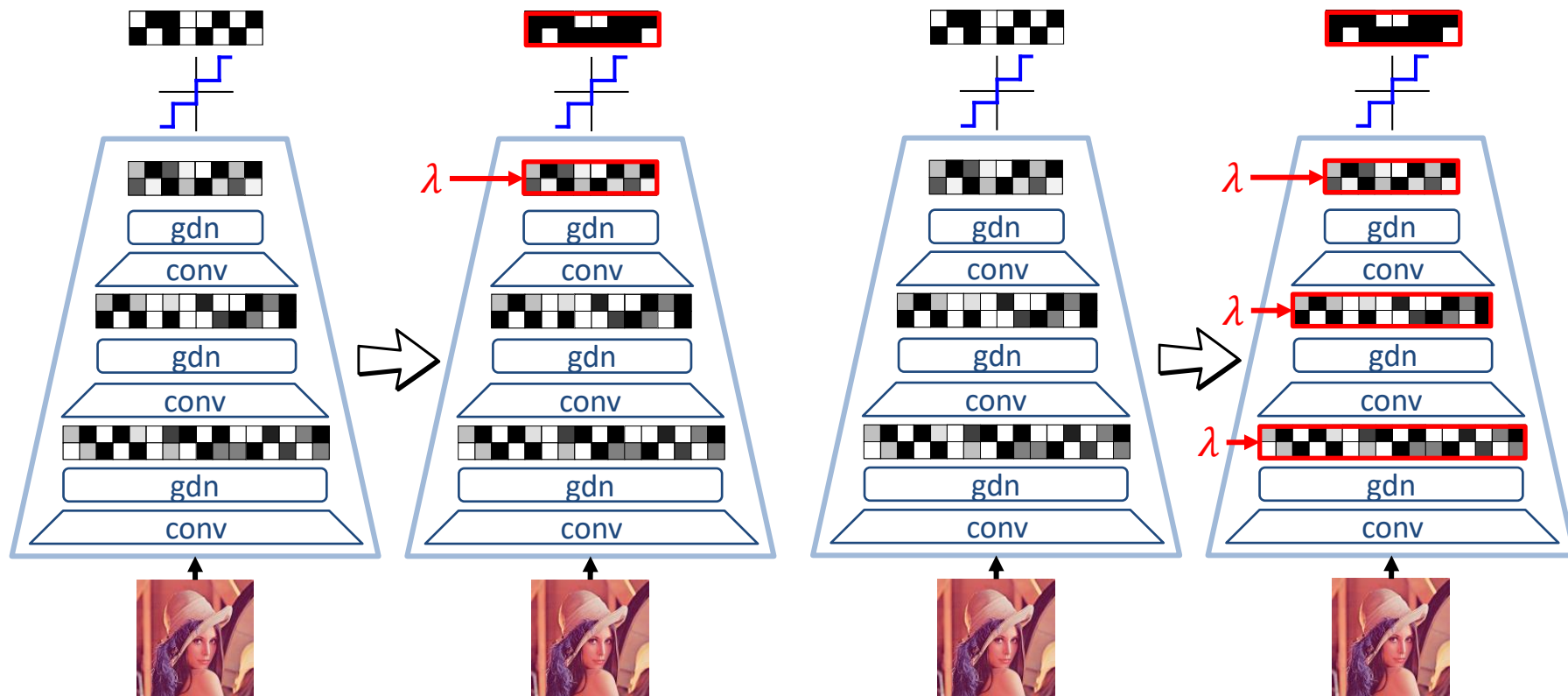


# Variable rate neural image compression

Objective: one single model for multiple  $\lambda$

Bottleneck scaling [Theis2017]

Feature modulation [MAE, cAE]



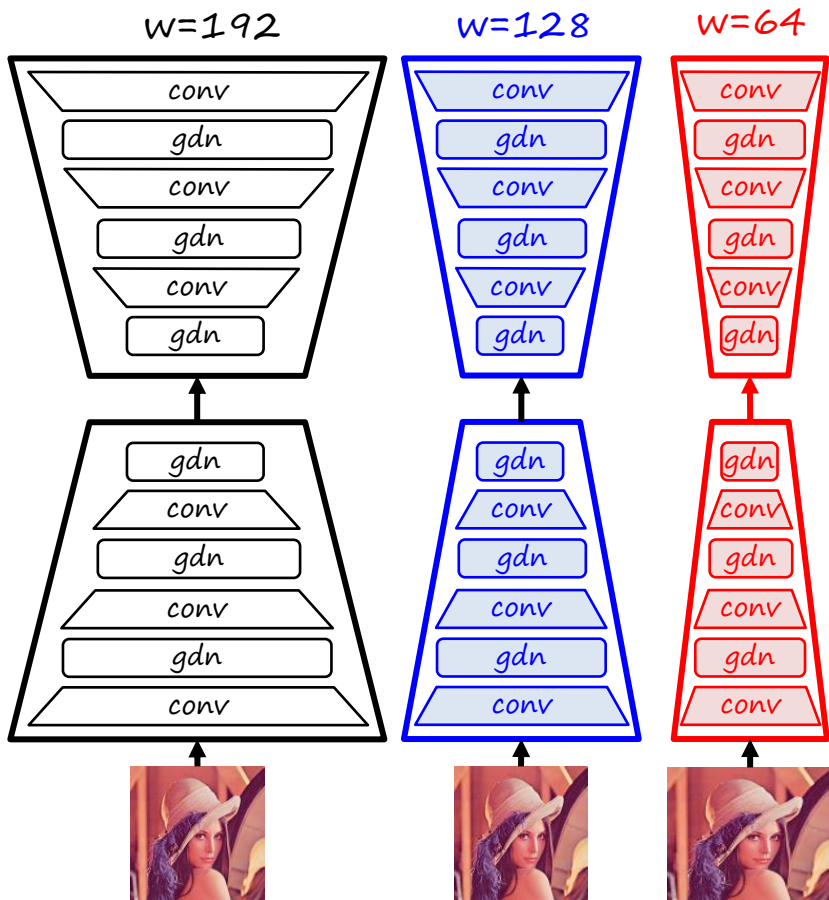
- Minimize rate ✓
- Minimize distortion ✓
- Variable rate ✓

- Low memory ✗
- Low computation ✗
- Low latency ✗

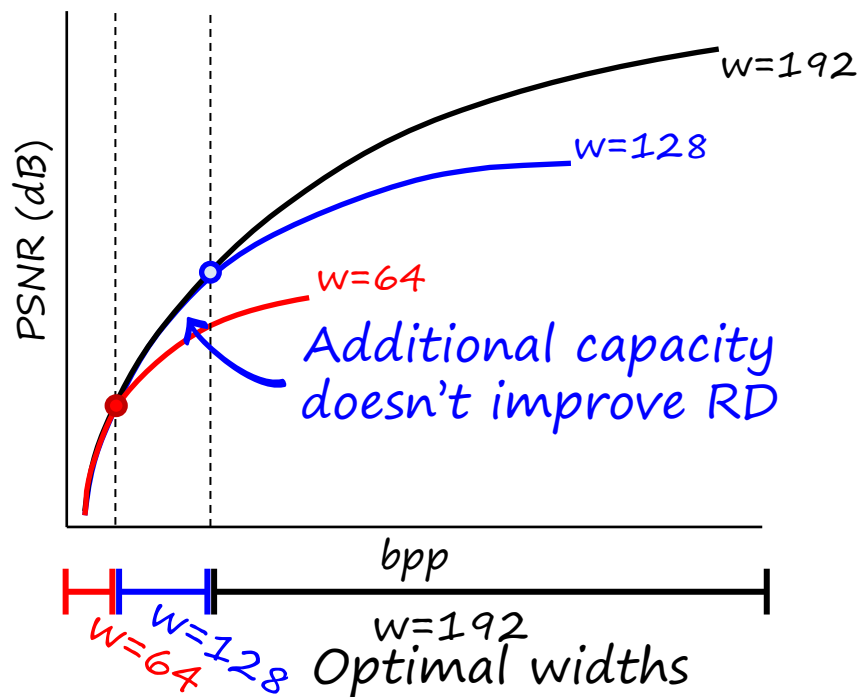
cAE: conditional autoencoder [Choi2019]  
MAE: modulated autoencoder [Yang2020]

# Model capacity and rate-distortion

$w$ =filters per layer



There is a minimal capacity for every RD tradeoff

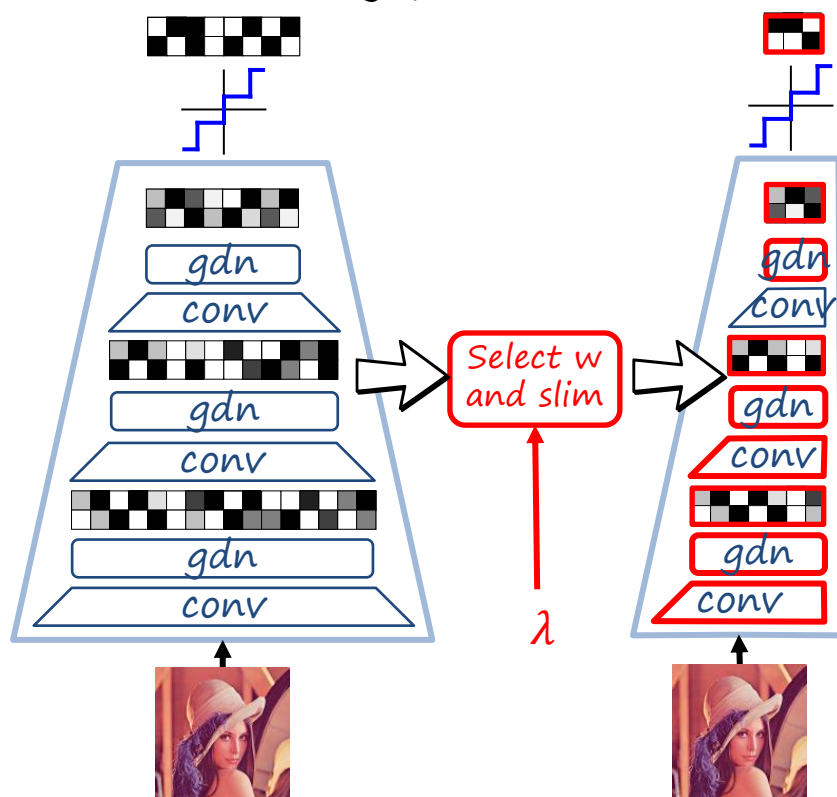


Lower  $w$  results in less memory and computation!!

# Slimmable compressive autoencoder

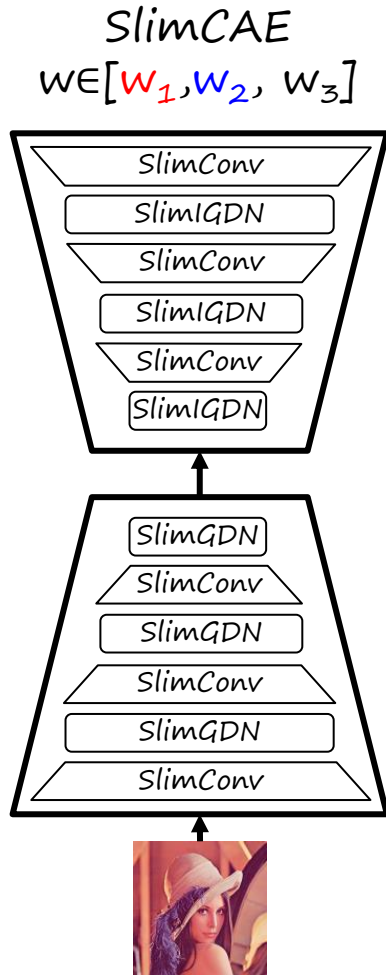
Approach: slim the network to the minimal capacity for a given  $\lambda$

Slimming [SlimCAE]



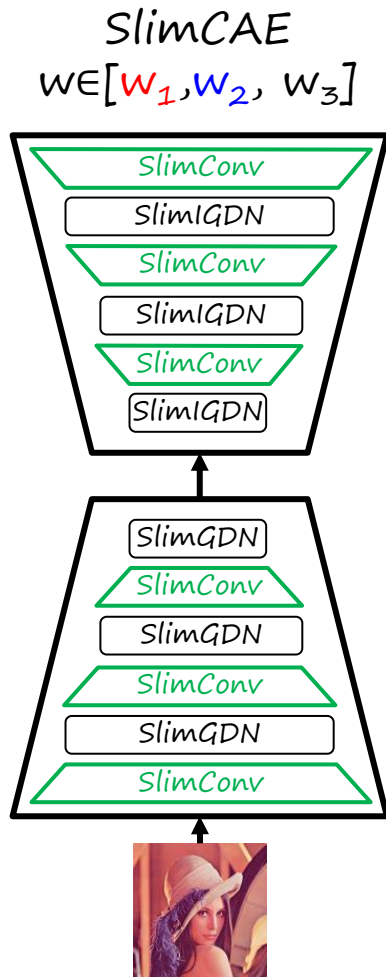
- Minimize rate ✓
  - Minimize distortion ✓
  - Variable rate ✓
  - Lower memory ✓
  - Lower computation ✓
  - Lower latency ✓
- (for low-mid rates)

# Slimmable layers in SlimCAE

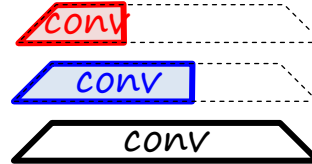




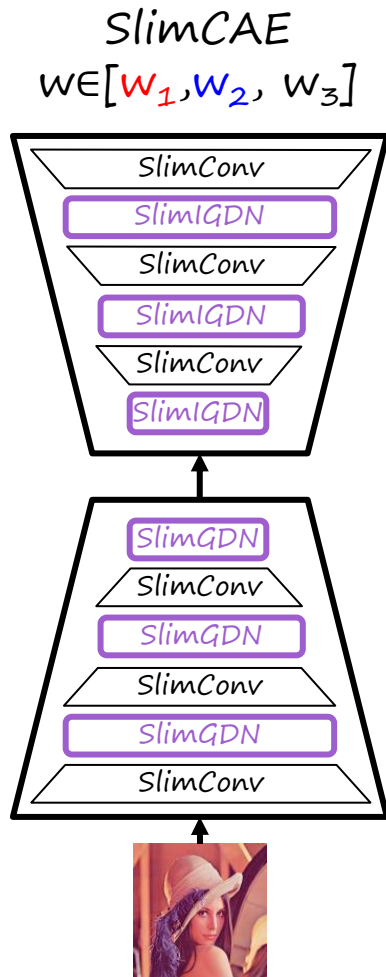
# Slimmable layers in SlimCAE



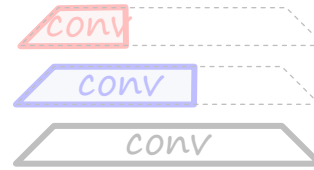
Slimmable convolution [Yu2019]



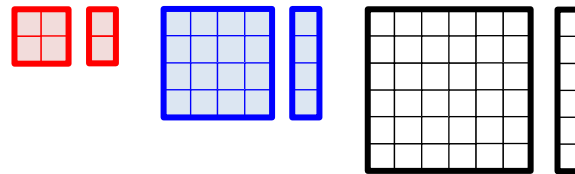
# Slimmable layers in SlimCAE



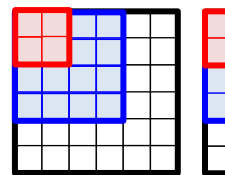
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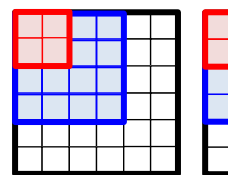
SwitchGDN



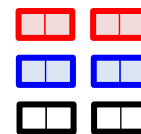
SlimGDN



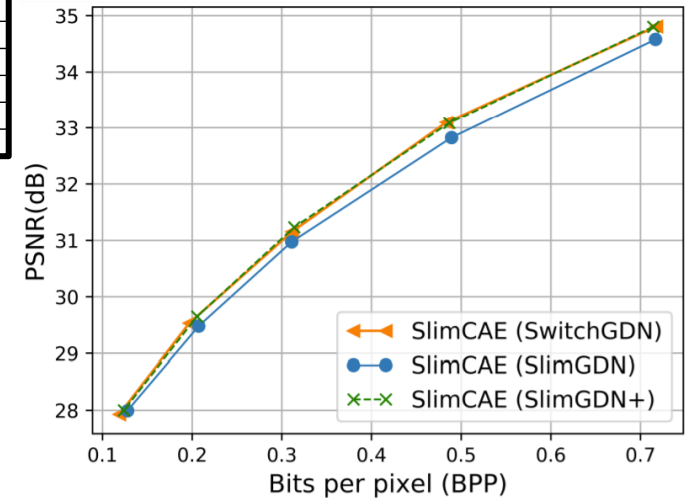
SlimGDN+



Shared



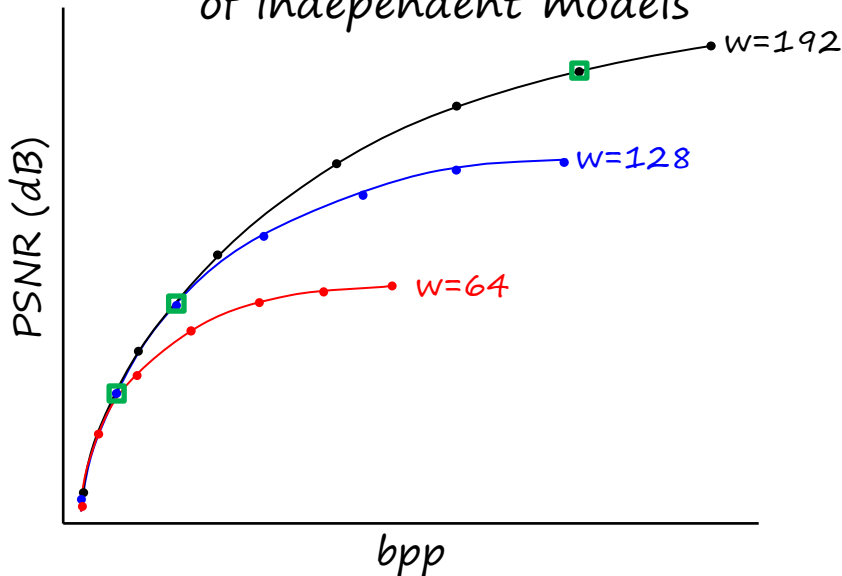
Switchable  
(modulation)



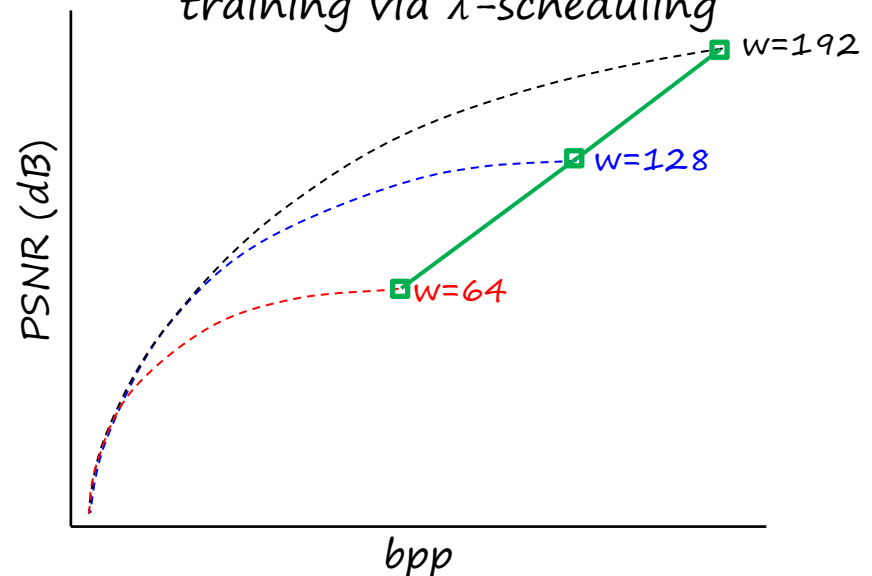
# Training SlimCAE

Problem: we need the optimal  $\lambda$ s to train the SlimCAE

Estimate from RD curves  
of independent models



Automatically estimate during  
training via  $\lambda$ -scheduling



1. Train several independent models for different  $w$
2. Plot RD curves and find critical points
3. Estimate optimal  $\lambda$ s from trained models

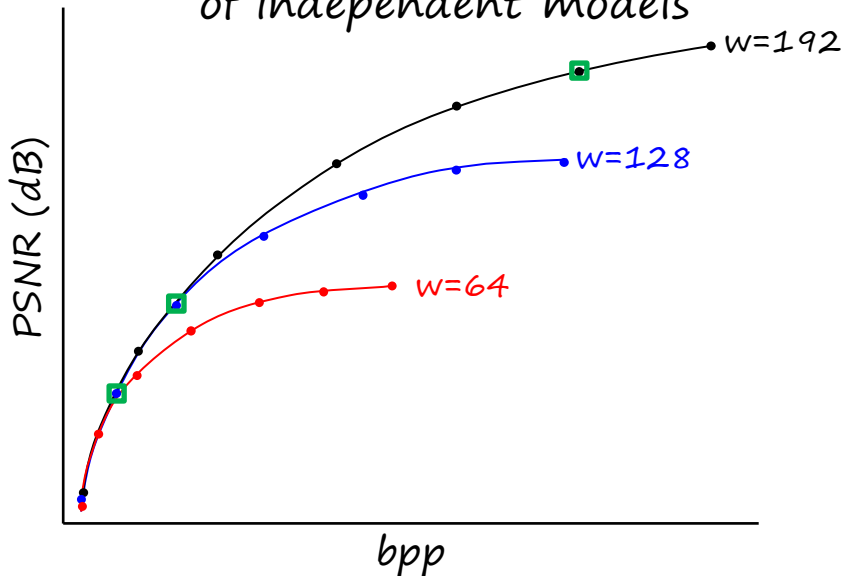
Problem: extremely expensive!

1. Train a SlimCAE with  $\lambda_1 = \lambda_2 = \lambda_3$
2. While not converged do
  - Update  $\lambda$ s according to schedule
  - Optimize CAE

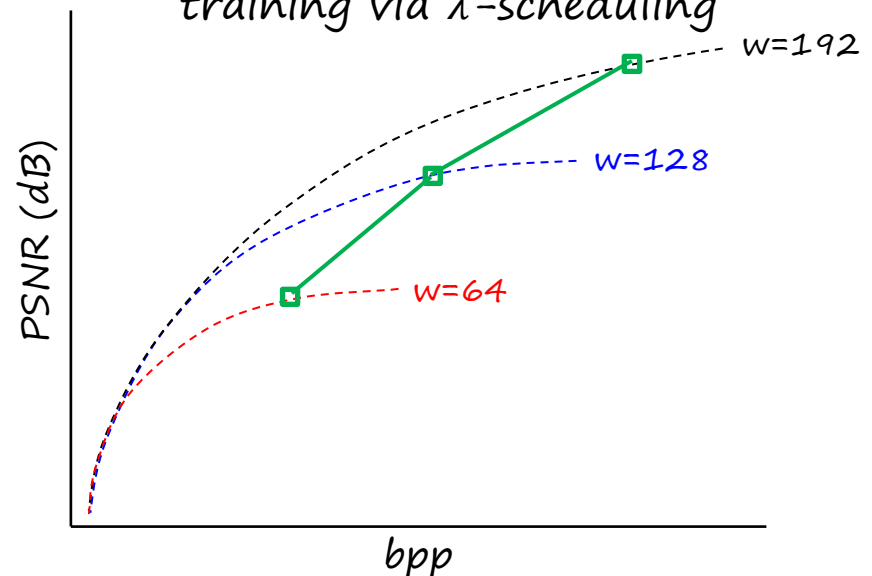
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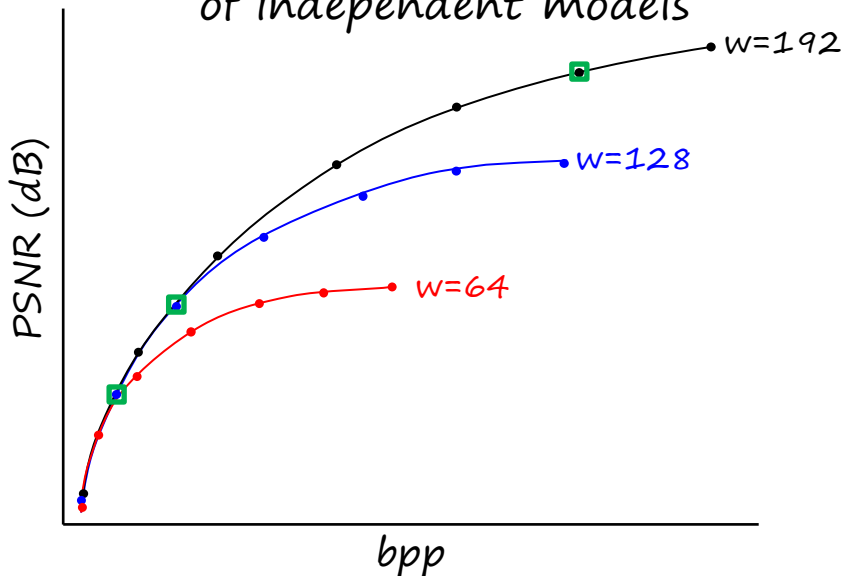
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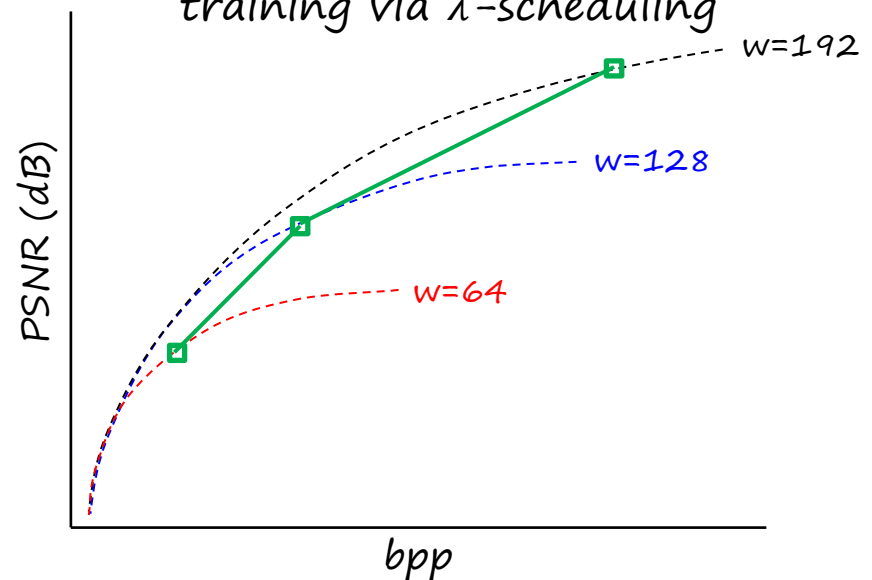
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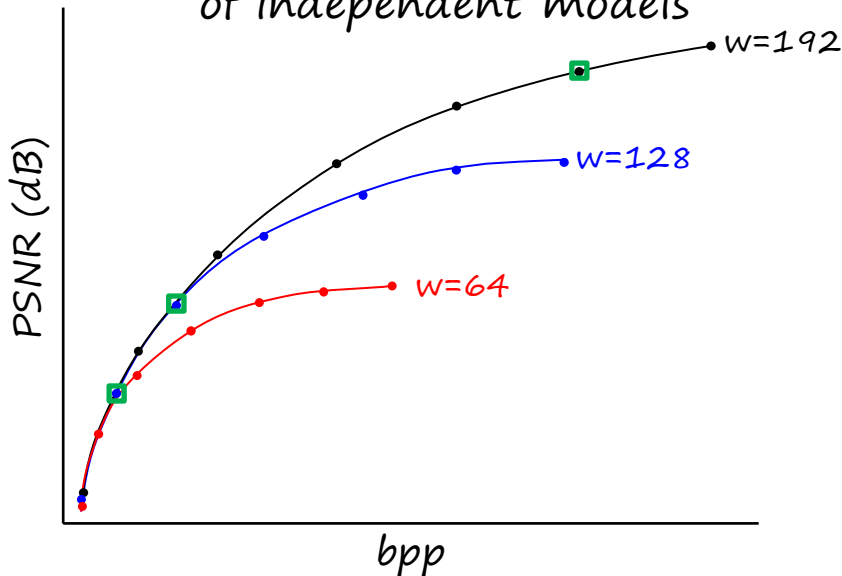
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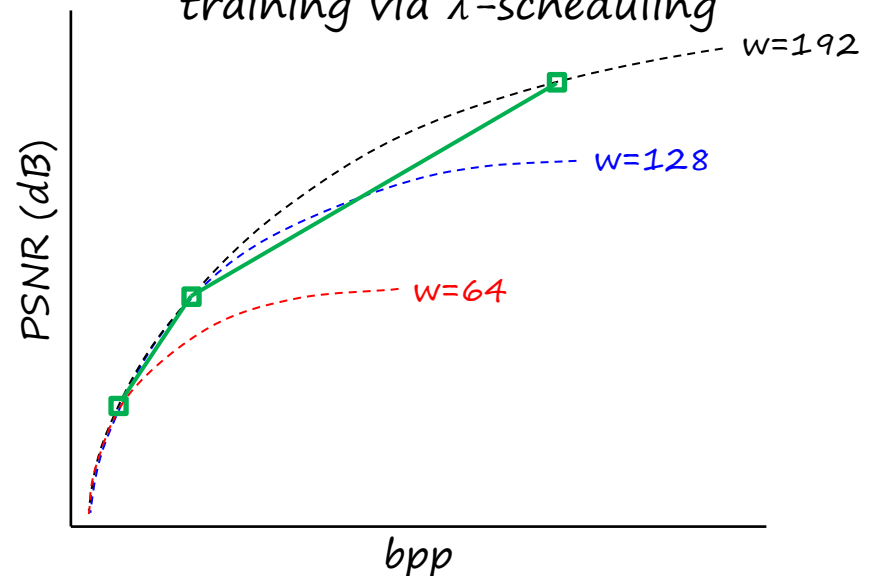
# Training SlimCAE

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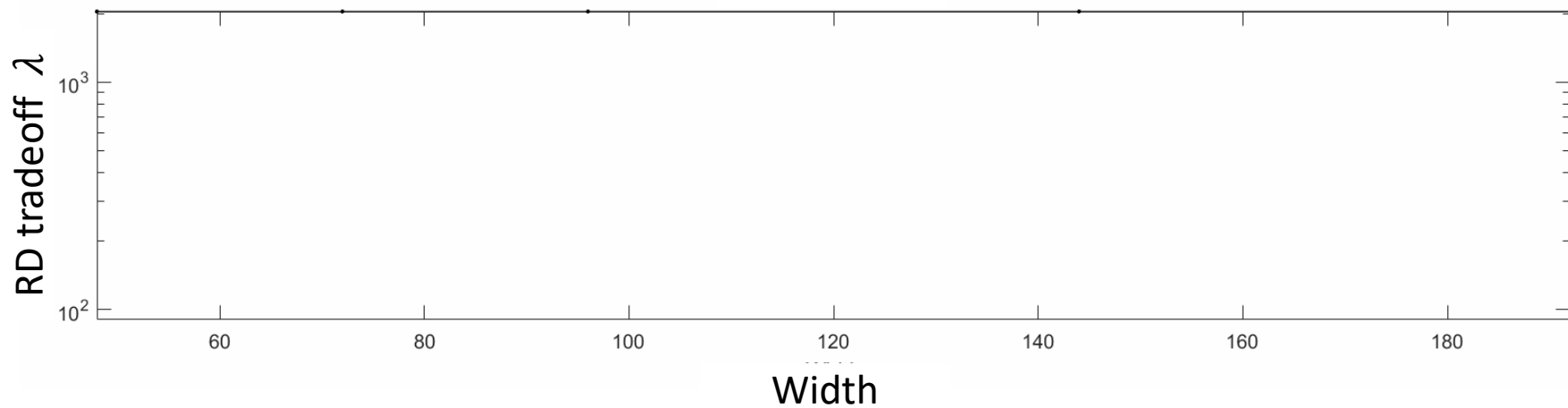
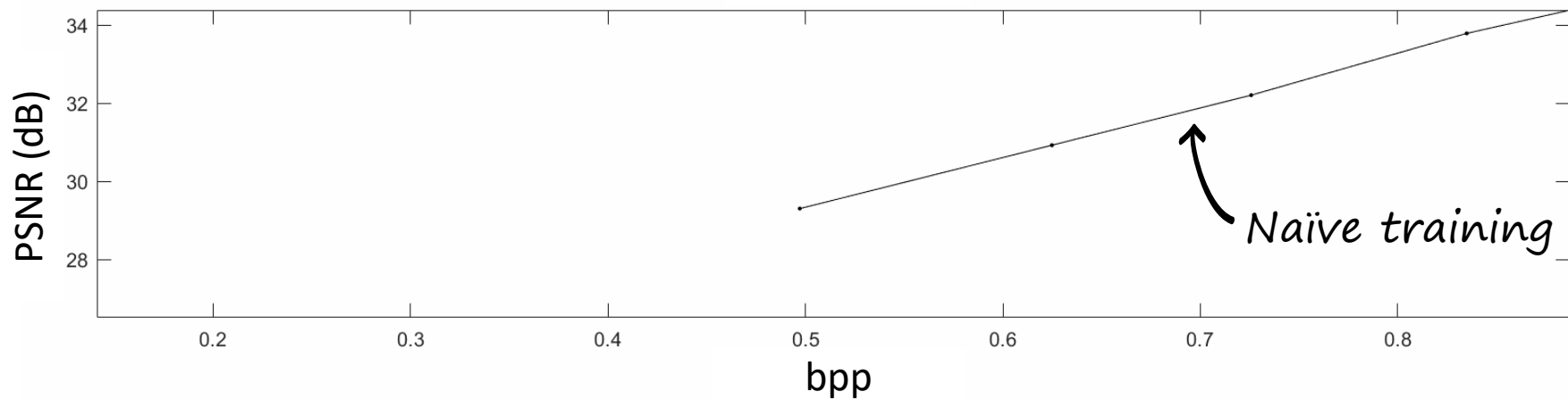
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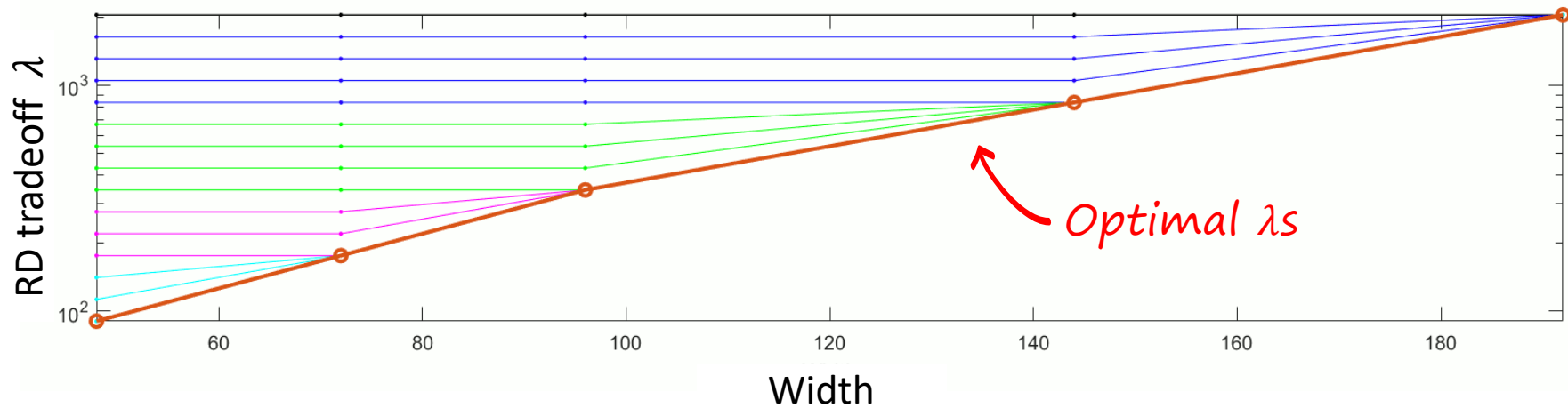
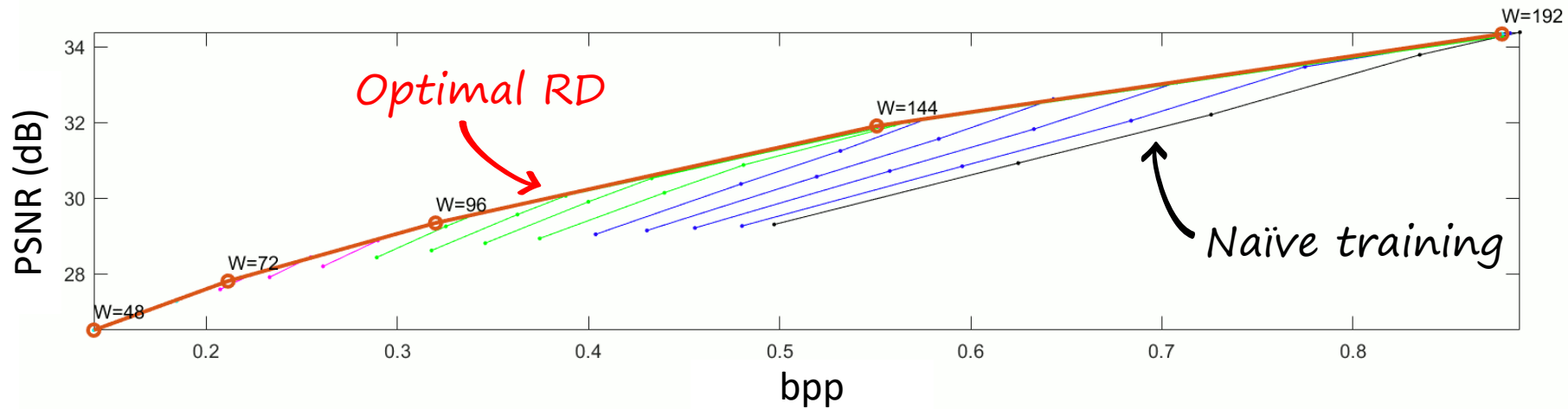
1. Train a SlimCAE with  $\lambda_1 = \lambda_2 = \lambda_3$
2. While not converged do
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  - Optimize CAE

Directly train one model!

# $\lambda$ -scheduling. Example



# $\lambda$ -scheduling





# Performance comparison

Independent CAEs  
(each with minimal capacity)

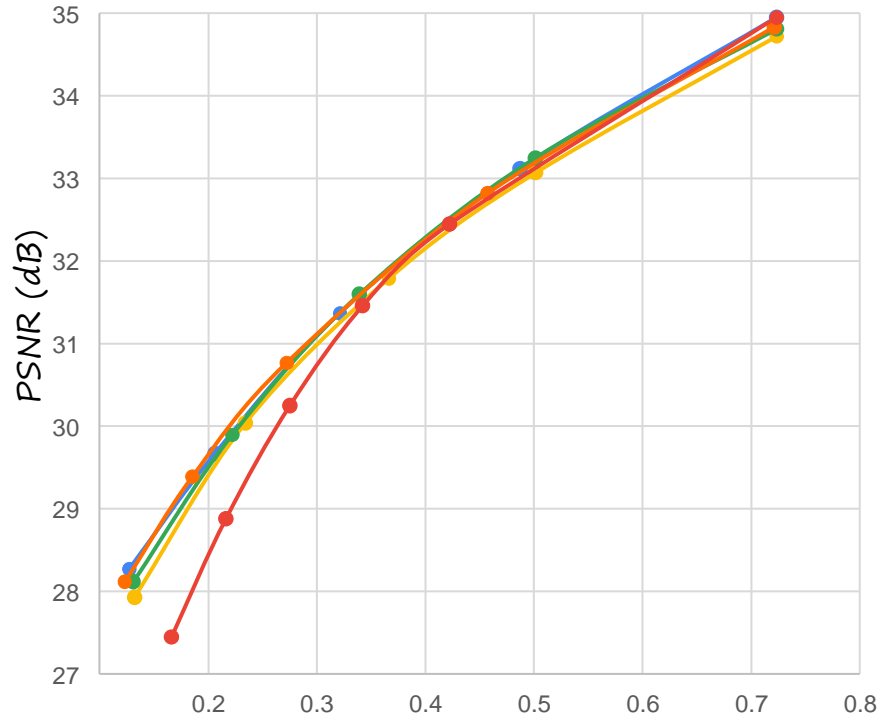
Scaling [Theis2017]

MAE [Yang2020]

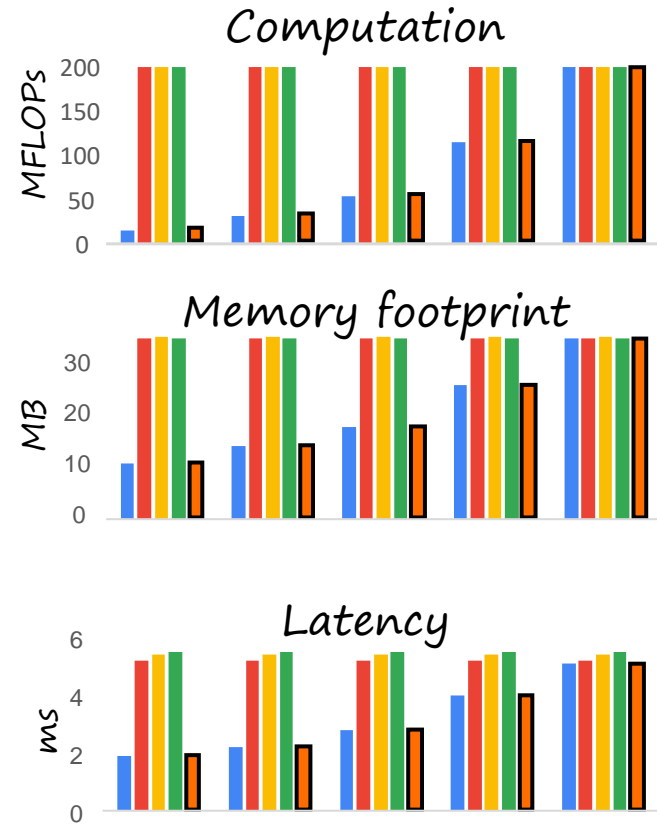
cAE [Choi2019]

**SlimCAE (ours)**

## Rate-distortion



## Encoder



# Thanks!

<https://arxiv.org/abs/2103.15726>

<https://github.com/FireFYF/SlimCAE>



*Fei Yang*



*Luis Herranz*



*Yongmei Cheng*



*Mikhail Mozerov*



# DANICE: Domain adaptation without forgetting in neural image compression

Sudeep Katakol<sup>1,(2)</sup>, Luis Herranz<sup>2,3</sup>, Fei Yang<sup>2,3,4</sup>, Marta Mrak<sup>5</sup>

<sup>1</sup>University of Michigan, Ann Arbor, <sup>2</sup>Computer Vision Center, <sup>3</sup>Universitat Autònoma de Barcelona, <sup>4</sup>Northwestern Polytechnical University, <sup>5</sup>BBC R&D

CLIC 2021 (@CVPR 2021)

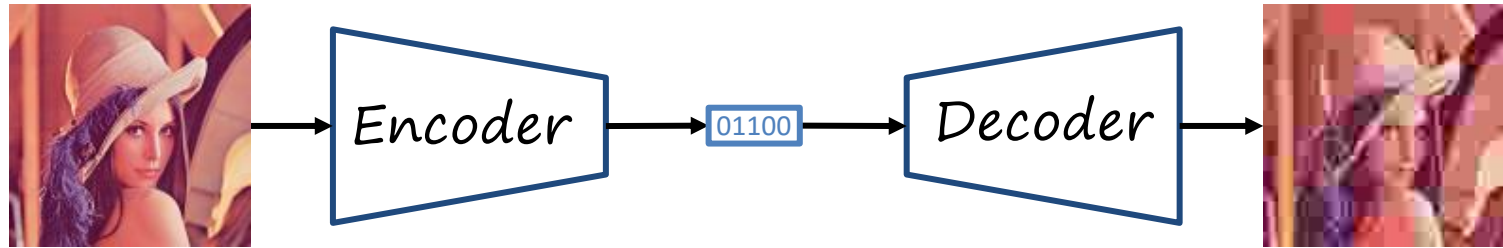


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# Towards practical neural image compression



## Main objectives

- Minimize rate
- Minimize distortion

## Practical objectives

- Variable rate
- Low memory
- Low computation
- Low latency

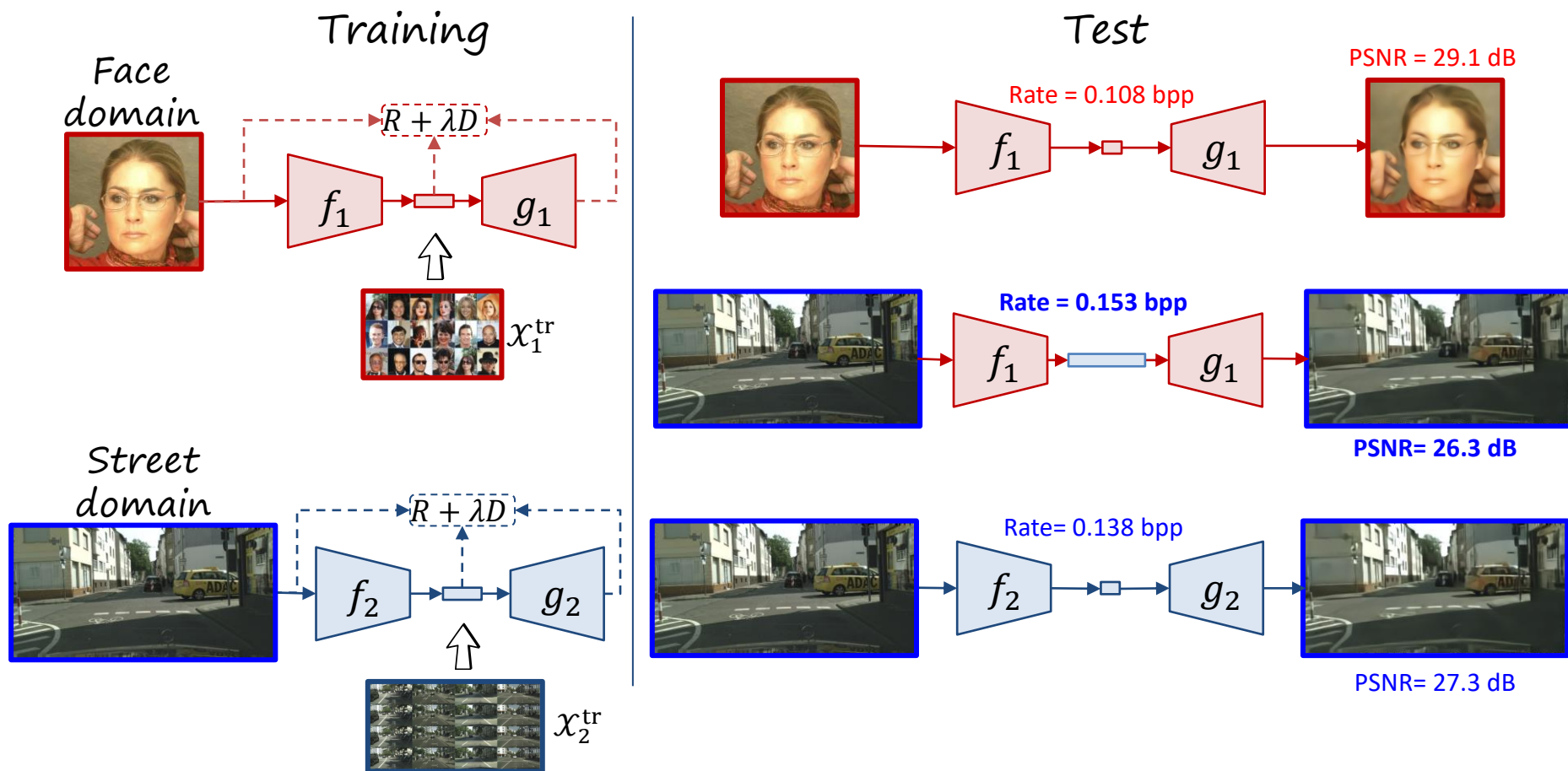
## Other practical considerations

- **Domain-specific codecs**  
(e.g. videoconference, screencast)
- **Backward/forward compatibility**  
(with legacy formats and encoders/decoders)

DANICE  
[CLIC2021]

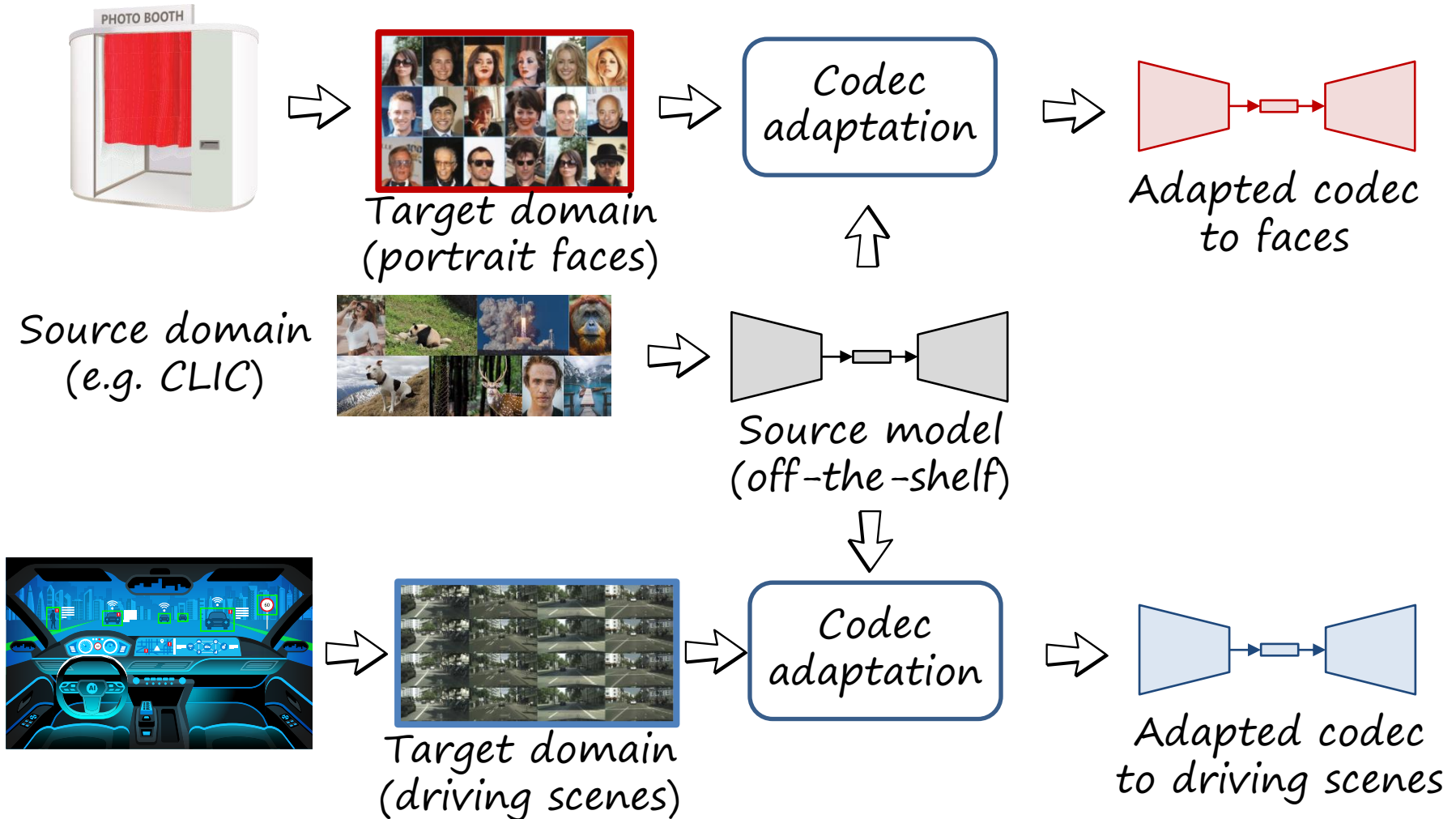
# Rate-distortion optimality of learned codecs

*Learned codecs are only optimal in the domain of the training data*

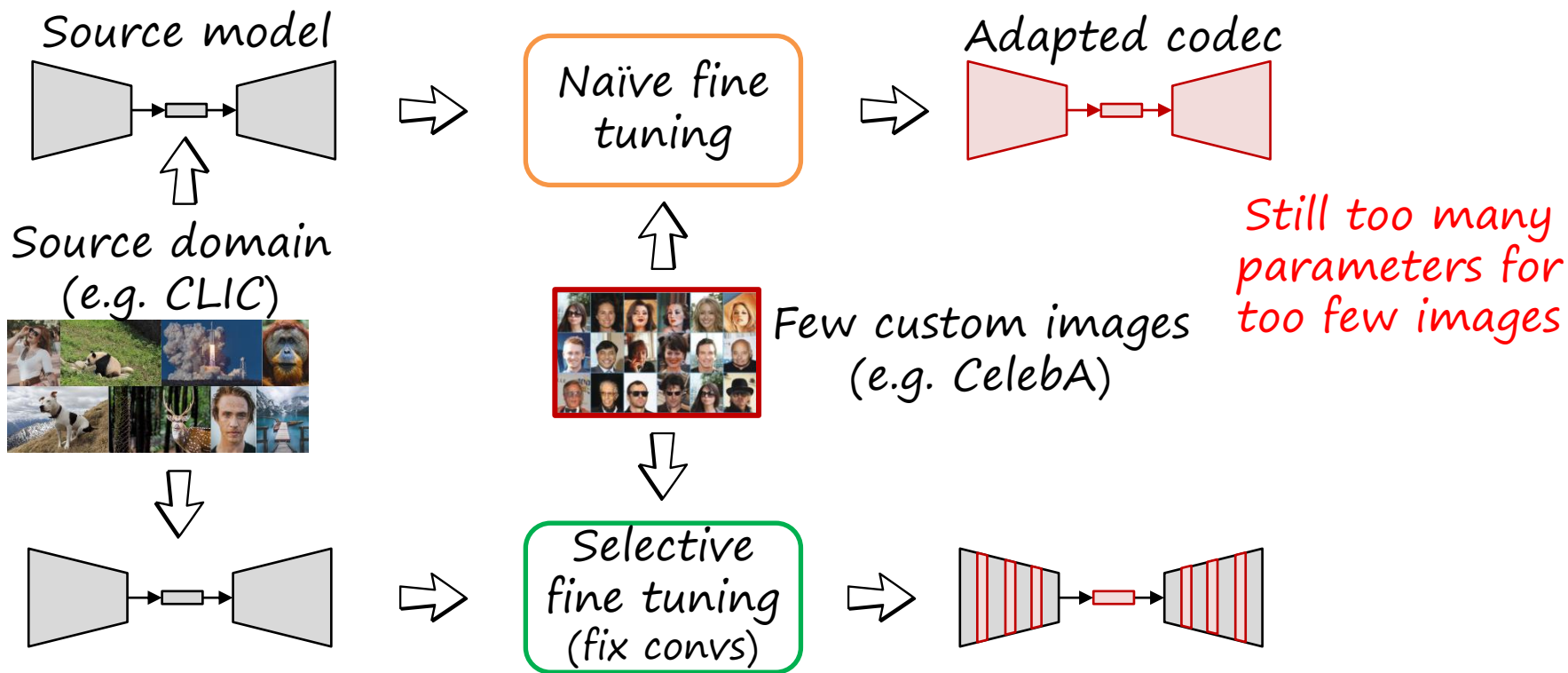


# Domain Adaptation in Neural Image Compression (DANICE)

Learned codecs can be customized with user content to specific domains  
Problem: usually we don't have enough custom data; training is expensive  
Solution: transfer pre-trained codecs



# Domain adaptation via fine tuning

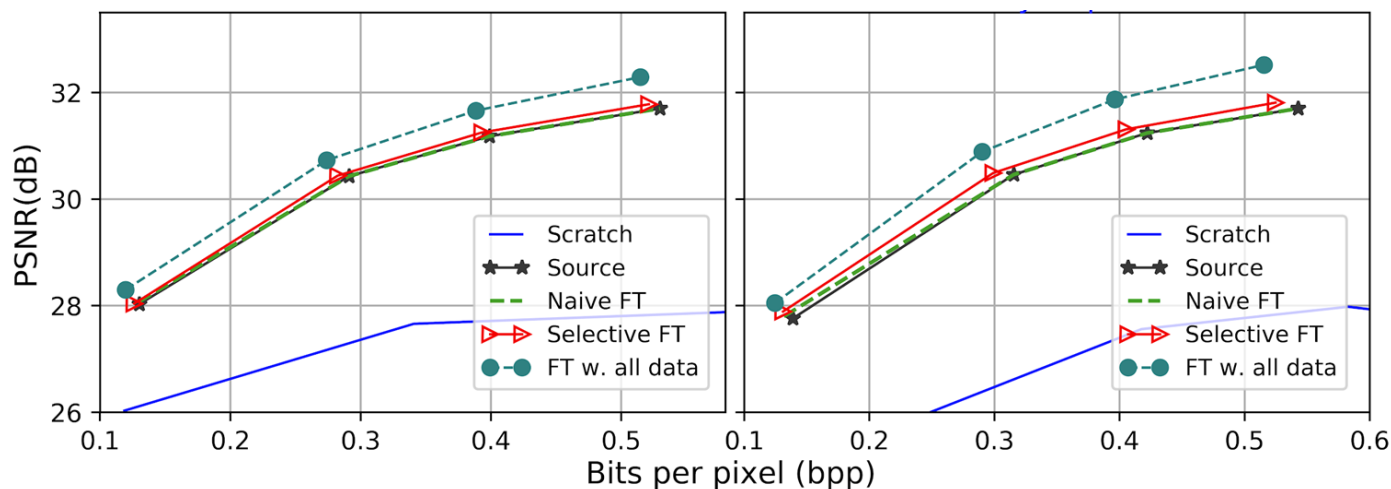
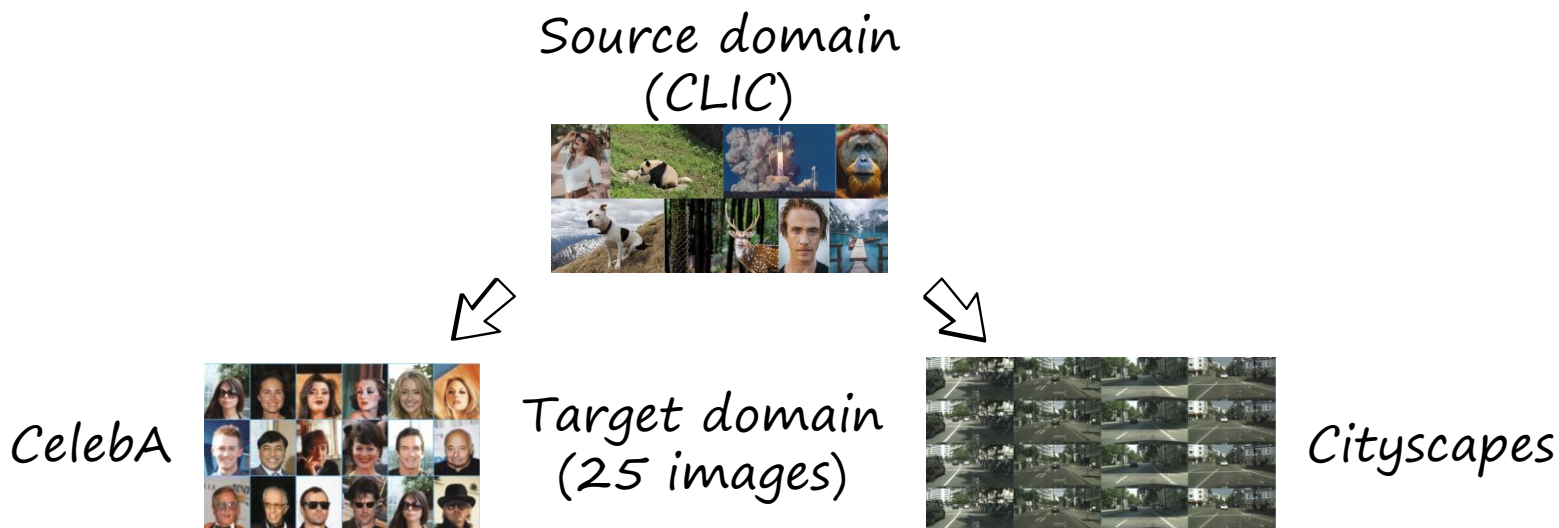


Experiments

	CLIC → CelebA		CLIC → Cityscapes	
Source model	19.24		23.93	
Number of target images	Naïve fine tuning	Selective fine tuning	Naïve fine tuning	Selective fine tuning
10	19.24	<b>16.46</b>	22.96	<b>17.54</b>
25	18.76	<b>14.93</b>	18.44	<b>15.79</b>
50	15.59	<b>13.73</b>	16.29	<b>15.33</b>

BD-rate  
(reference: training with all target data)

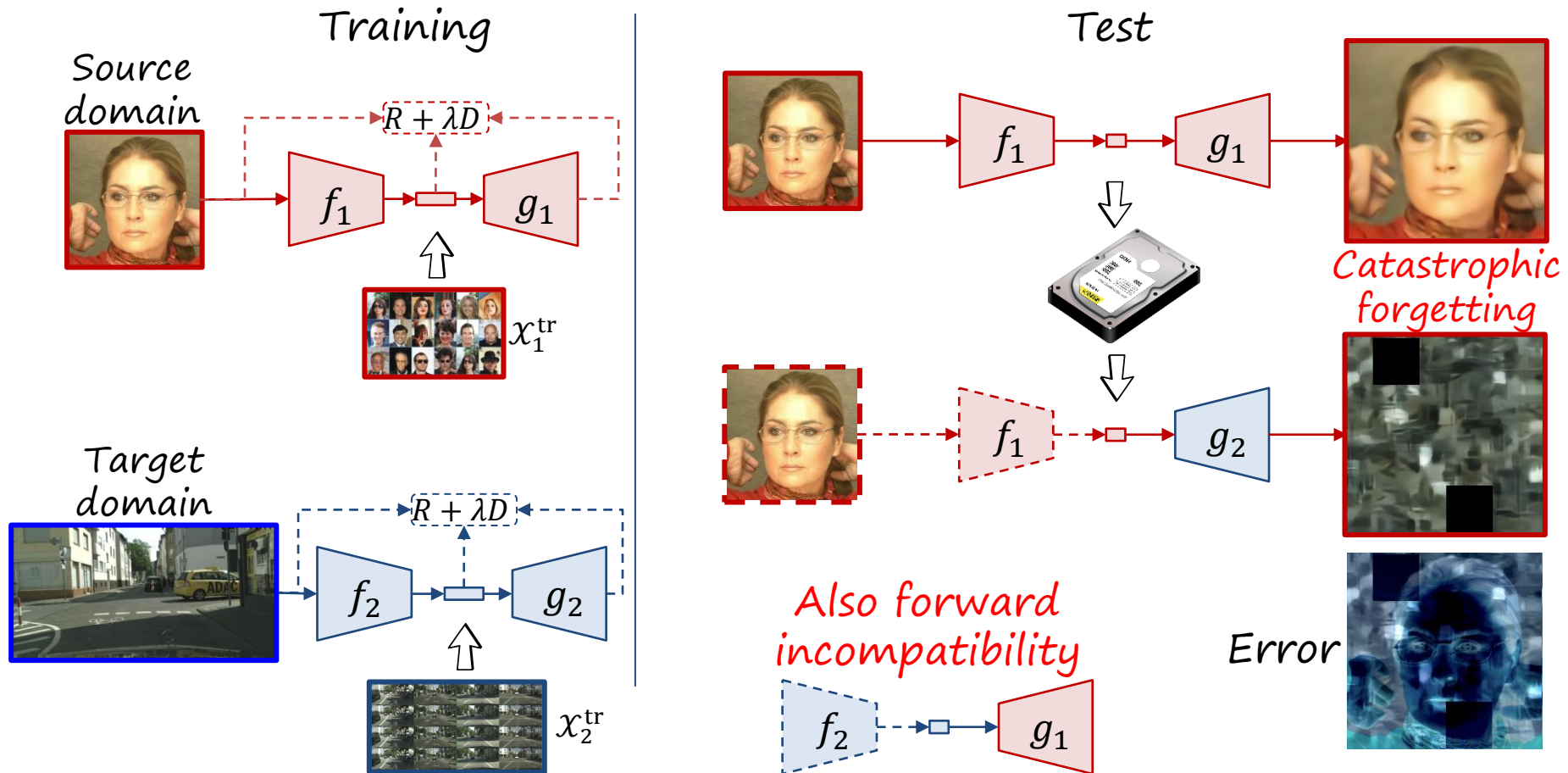
# Domain adaptation via fine tuning





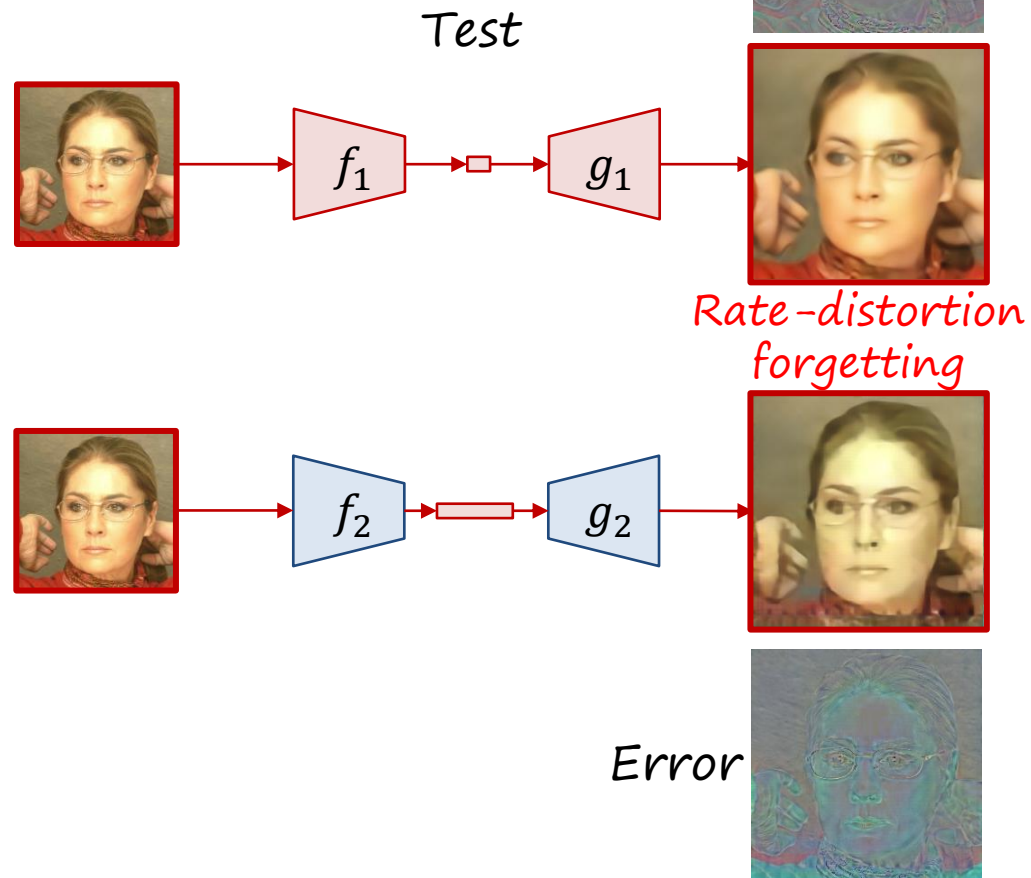
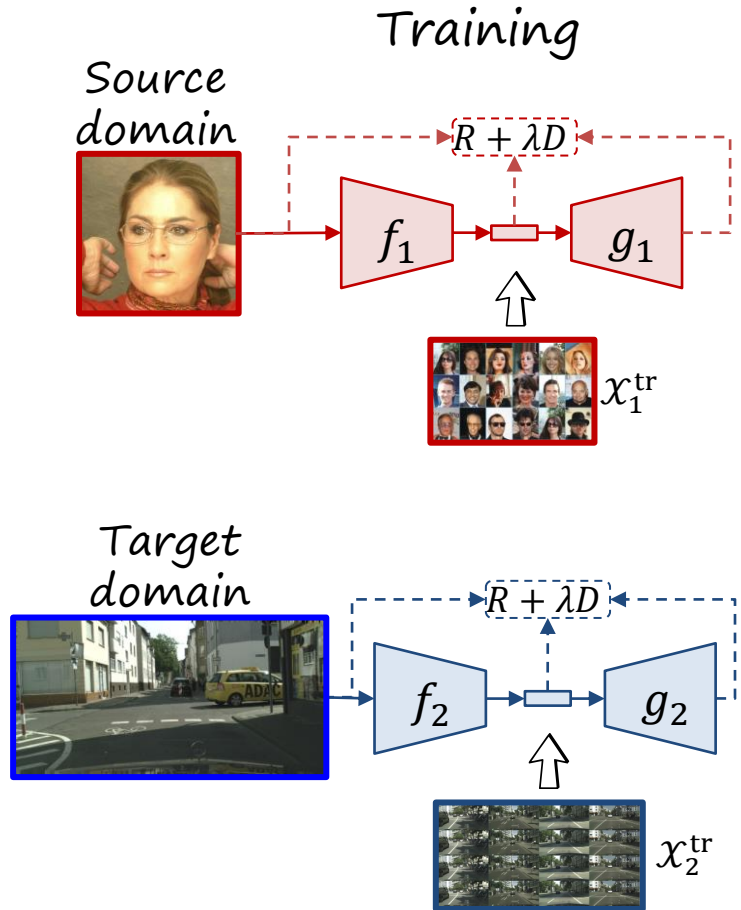
# Backward incompatibility with legacy bitstreams: catastrophic forgetting

*Misalignment between encoding-decoding latent spaces (i.e. bitstream syntax incompatible)*



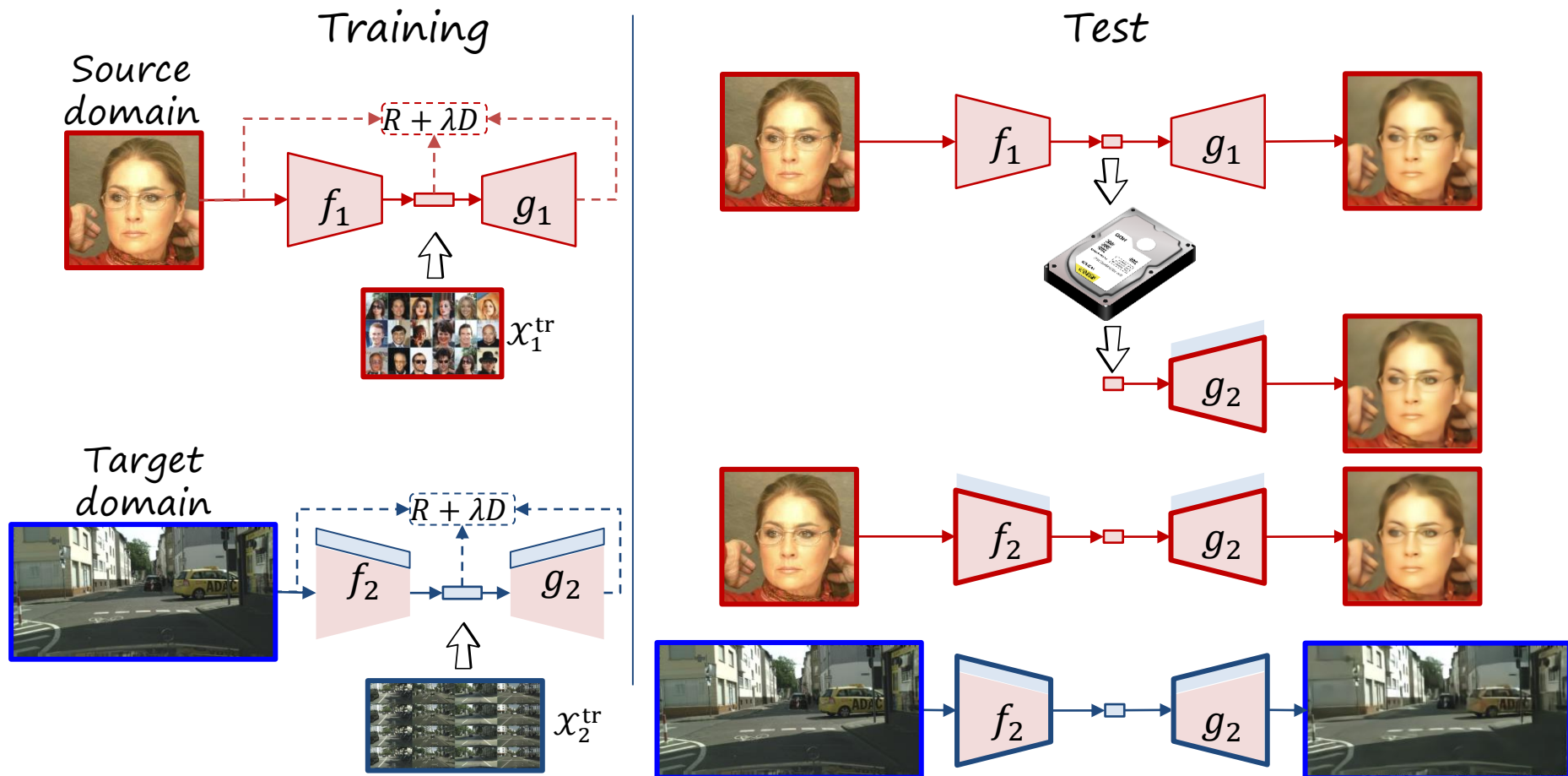
# Rate-distortion forgetting

Encoding-decoding latent spaces aligned, but suboptimal (i.e. bitstream syntax compatible, yet degraded)

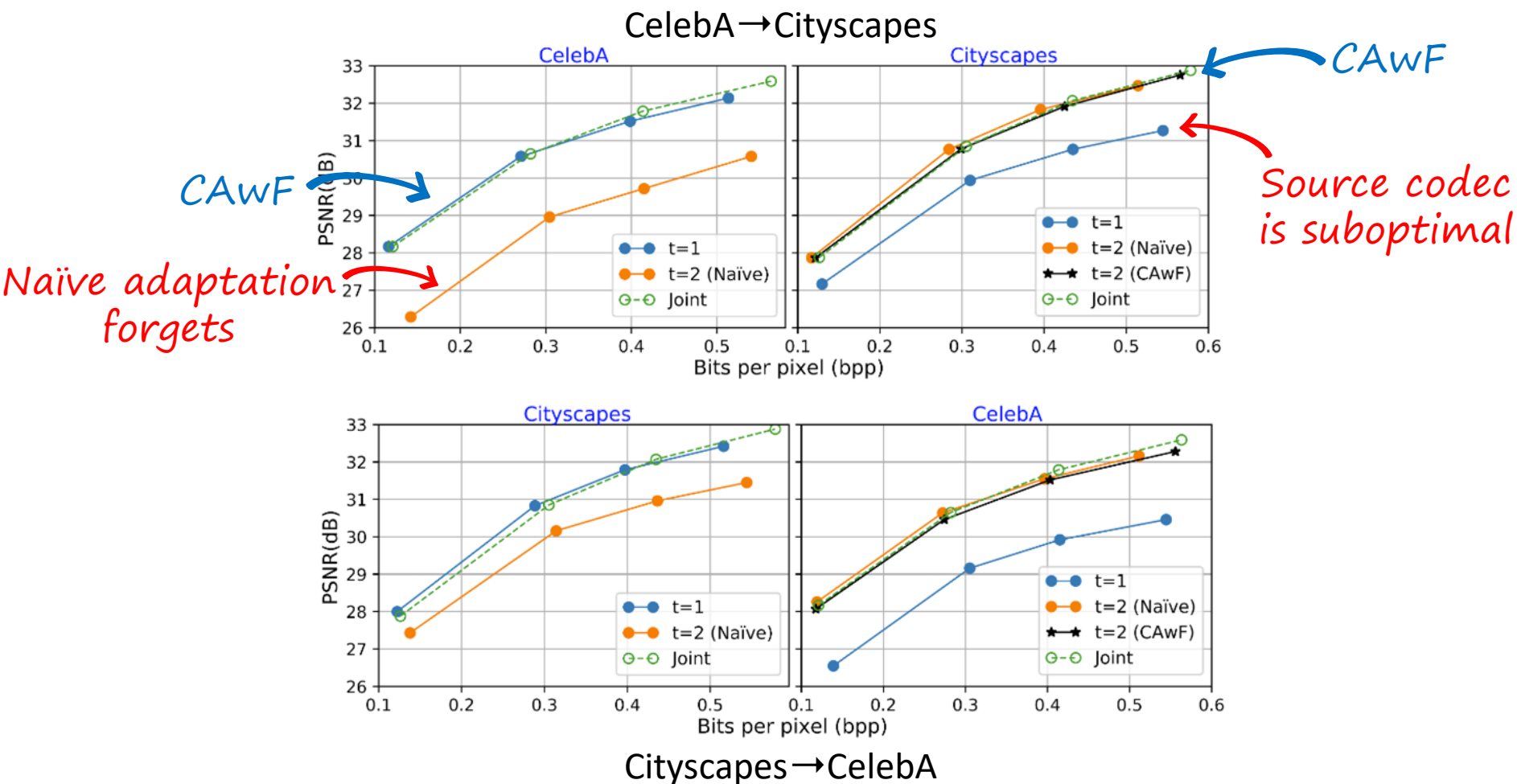


# Codec adaptation without forgetting (CAwF)

Freeze source codec, and learn target codec as an enhancement layer  
Drawback: adds additional parameters

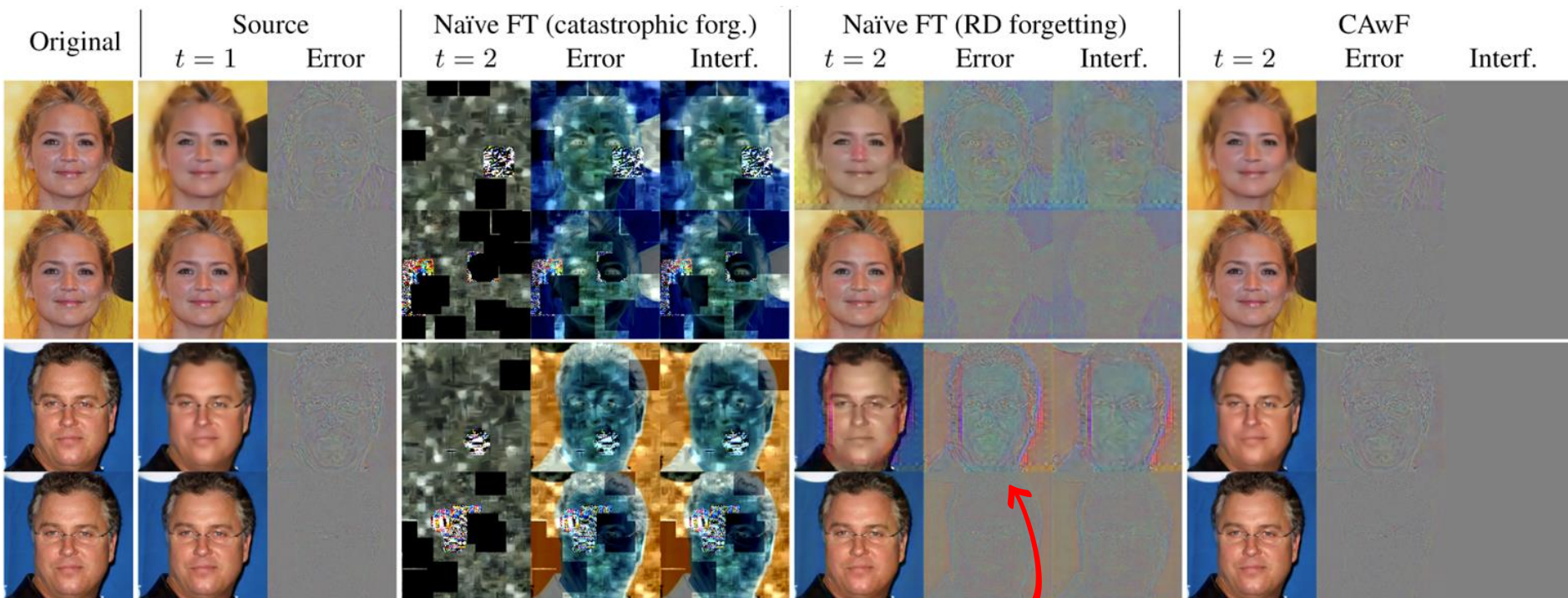


# Codec adaptation without forgetting (CAwF)



# Codec adaptation without forgetting (CAwF)

*CelebA* → *Cityscapes*  
(source domain)



Codec adaptation artifacts

# Thanks!

<https://arxiv.org/abs/2103.15726>



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